

# **EXHIBIT C**

**AMENDED**

**COPY**

**CUSTOMER CLAIM**

Claim Number \_\_\_\_\_

Date Received \_\_\_\_\_

**BERNARD L. MADOFF INVESTMENT SECURITIES LLC**

In Liquidation

**DECEMBER 11, 2008**

**RECEIVED  
RECEIVED  
MAR 16 2009**

Irving H. Picard, Esq.  
Trustee for Bernard L. Madoff Investment Securities LLC  
Claims Processing Center  
2100 McKinney Ave., Suite 800  
Dallas, TX 75201

Provide your office and home telephone no.

OFFICE: \_\_\_\_\_

HOME: \_\_\_\_\_

Taxpayer I.D. Number (Social Security No.)  
\_\_\_\_\_

Account Number: 1-ZR284-3 & 1-ZR284-4

Stanley T. Miller IRA

**REDACTED**

**AMENDED**

(If incorrect, please change)

**NOTE: BEFORE COMPLETING THIS CLAIM FORM, BE SURE TO READ CAREFULLY THE ACCOMPANYING INSTRUCTION SHEET. A SEPARATE CLAIM FORM SHOULD BE FILED FOR EACH ACCOUNT AND, TO RECEIVE THE FULL PROTECTION AFFORDED UNDER SIPA, ALL CUSTOMER CLAIMS MUST BE RECEIVED BY THE TRUSTEE ON OR BEFORE March 4, 2009. CLAIMS RECEIVED AFTER THAT DATE, BUT ON OR BEFORE July 2, 2009, WILL BE SUBJECT TO DELAYED PROCESSING AND TO BEING SATISFIED ON TERMS LESS FAVORABLE TO THE CLAIMANT. PLEASE SEND YOUR CLAIM FORM BY CERTIFIED MAIL - RETURN RECEIPT REQUESTED.**

\*\*\*\*\*

1. Claim for money balances as of **December 11, 2008**\_:

a. The Broker owes me a Credit (Cr.) Balance of \$ \_\_\_\_\_

b. I owe the Broker a Debit (Dr.) Balance of \$ \_\_\_\_\_

c. If you wish to repay the Debit Balance,  
please insert the amount you wish to repay and  
attach a check payable to "Irving H. Picard, Esq.,  
Trustee for Bernard L. Madoff Investment Securities LLC."  
If you wish to make a payment, **it must be enclosed**  
with this claim form. \$ \_\_\_\_\_

d. If balance is zero, insert "None." \_\_\_\_\_

NONE

2. Claim for securities as of **December 11, 2008**:

**PLEASE DO NOT CLAIM ANY SECURITIES YOU HAVE IN YOUR POSSESSION.**

	YES	NO
a. The Broker owes me securities	✓	
b. I owe the Broker securities		✓
c. If yes to either, please list below:		

Date of Transaction (trade date)	Name of Security	The Broker Owes Me (Long)	I Owe the Broker (Short)
SEE STATEMENT DATED NOVEMBER 30, 2008		✓	
_____	_____	_____	_____
_____	_____	_____	_____
_____	_____	_____	_____
_____	_____	_____	_____

Proper documentation can speed the review, allowance and satisfaction of your claim and shorten the time required to deliver your securities and cash to you. Please enclose, if possible, copies of your last account statement and purchase or sale confirmations and checks which relate to the securities or cash you claim, and any other documentation, such as correspondence, which you believe will be of assistance in processing your claim. In particular, you should provide all documentation (such as cancelled checks, receipts from the Debtor, proof of wire transfers, etc.) of your deposits of cash or securities with the Debtor from as far back as you have documentation. You should also provide all documentation or

**information regarding any withdrawals you have ever made or payments received from the Debtor.**

Please explain any differences between the securities or cash claimed and the cash balance and securities positions on your last account statement. If, at any time, you complained in writing about the handling of your account to any person or entity or regulatory authority, and the complaint relates to the cash and/or securities that you are now seeking, please be sure to provide with your claim copies of the complaint and all related correspondence, as well as copies of any replies that you received.

**PLEASE CHECK THE APPROPRIATE ANSWER FOR ITEMS 3 THROUGH 9.**

**NOTE: IF "YES" IS MARKED ON ANY ITEM, PROVIDE A DETAILED EXPLANATION ON A SIGNED ATTACHMENT. IF SUFFICIENT DETAILS ARE NOT PROVIDED, THIS CLAIM FORM WILL BE RETURNED FOR YOUR COMPLETION.**

		<u>YES</u>	<u>NO</u>
3.	Has there been any change in your account since December 11, 2008? If so, please explain.	_____	_____✓
4.	Are you or were you a director, officer, partner, shareholder, lender to or capital contributor of the broker?	_____	_____✓
5.	Are or were you a person who, directly or indirectly and through agreement or otherwise, exercised or had the power to exercise a controlling influence over the management or policies of the broker?	_____	_____✓
6.	Are you related to, or do you have any business venture with, any of the persons specified in "4" above, or any employee or other person associated in any way with the broker? If so, give name(s)	_____	_____✓
7.	Is this claim being filed by or on behalf of a broker or dealer or a bank? If so, provide documentation with respect to each public customer on whose behalf you are claiming.	_____	_____✓
8.	Have you ever given any discretionary authority to any person to execute securities transactions with or through the broker on your behalf? Give names, addresses and phone numbers.	_____	_____✓

9. Have you or any member of your family ever filed a claim under the Securities Investor Protection Act of 1970? if so, give name of that broker. \_\_\_\_\_ ✓

Please list the full name and address of anyone assisting you in the preparation of this claim form: Carole Neville, Sonnenschein Nath & Rosenthal LLP, 1221 Avenue of the Americas, 25<sup>th</sup> Floor, New York, NY 10020 .

If you cannot compute the amount of your claim, you may file an estimated claim. In that case, please indicate your claim is an estimated claim.

**IT IS A VIOLATION OF FEDERAL LAW TO FILE A FRAUDULENT CLAIM. CONVICTION CAN RESULT IN A FINE OF NOT MORE THAN \$50,000 OR IMPRISONMENT FOR NOT MORE THAN FIVE YEARS OR BOTH.**

**THE FOREGOING CLAIM IS TRUE AND ACCURATE TO THE BEST OF MY INFORMATION AND BELIEF. \***

Date 3/12/09 Signature Italy T. Trill  
Date \_\_\_\_\_ Signature \_\_\_\_\_

(If ownership of the account is shared, all must sign above. Give each owner's name, address, phone number, and extent of ownership on a signed separate sheet. If other than a personal account, e.g., corporate, trustee, custodian, etc., also state your capacity and authority. Please supply the trust agreement or other proof of authority.)

**This customer claim form must be completed and mailed promptly, together with supporting documentation, etc. to:**

Irving H. Picard, Esq.,  
Trustee for Bernard L. Madoff Investment Securities LLC  
Claims Processing Center  
2100 McKinney Ave., Suite 800  
Dallas, TX 75201

\* This form includes and incorporates in full the attached Addendum. Customer reserves the right to amend this form for any purpose, including, without limitation, to add interest, costs and other losses associated with this account.

**ADDENDUM**

**THIS CLAIM AMENDS STANLEY MILLER'S CLAIM DATED 1/9/09 ATTACHED  
HERETO AS EXHIBIT ENTITLED 1/9/09 STANLEY MILLER SIPC CLAIM**

**Customer: Stanley T. Miller IRA**

**Address:**

**REDACTED**

**Account #: 1-ZR284-3-0  
1-ZR284-4-0**

**Document index:**

- |   |                |
|---|----------------|
| A. Statement dated November 30, 2008                  | \$6,528,970.10 |
| B. Investment Documents:                              |                |
| 1. Check transfer confirmation dated 11/09/98         | \$3,000,000.00 |
| Facsimile Correspondence dated 11/04/98               |                |
| Investment Authorization Form                         |                |
| IRA Statement dated as of 12/31/98                    |                |
| 2. IRA Statement dated as of 12/31/98                 | \$499,907.00   |
| Check transfer confirmation dated 1/7/99              |                |
| Check transfer confirmation dated 1/12/99             | \$93.00        |
| IRA Account Statement dated as of 3/31/99             |                |
| 3. IRA Statement dated as of 6/30/99                  | \$499,990.00   |
| 4/1/99 - 6/30/99 - Missing documents                  | \$500,000.00   |
| C. Transfer documents                                 |                |
| 1. IRA Distribution Request Form dated as of 10/03/00 | (\$20,000.00)  |
| IRA Statement dated as of 12/31/00                    |                |
| 2. IRA Statement dated as of 12/31/01                 | (\$600,000.00) |
| 1099 for IRA distributions for 2001                   |                |
| 3. IRA Statement dated as of 12/31/02                 | (\$200,000.00) |
| 1099 for IRA distributions for 2002                   |                |

- |    |                                     |                |
|----|-------------------------------------|----------------|
| 4. | IRA Statement dated as of 12/31/03  | (\$250,000.00) |
|    | 1099 for IRA distributions for 2003 |                |
| 5. | IRA Statement dated as of 12/31/04  | (\$449,977.26) |
|    | 1099 for IRA distributions for 2004 |                |
| 6. | IRA Statement dated as of 12/31/05  | (\$350,000.00) |
|    | 1099 for IRA distributions for 2005 |                |
| 7. | IRA Statement dated as of 12/31/06  | (\$50,000.00)  |
|    | 1099 for IRA distributions for 2006 |                |
| 8. | IRA Statement dated as of 12/31/07  | (\$100,000.00) |
|    | 1099 for IRA distributions for 2007 |                |
| 9. | IRA Statement dated as of 12/31/08  | (\$900,000.00) |
|    | 1099 for IRA distributions for 2008 |                |

Total Investments: \$4,499,990.00

Total Withdrawals: \$2,919,977.26

**STATEMENT DATED NOVEMBER 30, 2008**

Madoff Securities Int

Mayfair

885 Third Avenue  
New York, NY 10022  
(212) 230-2424  
800 334-1343  
Fax (212) 838-4061

**BERNARD L. MADOFF**  
INVESTMENT SECURITIES LLC  
New York ☐ London

**\*\*DUPLICATE\*\* FOR ACCOUNT NTC & CO.  
STANLEY T MILLER**

PERIOD ENDING  
11/30/03

YOUR ACCOUNT NUMBER  
1-78284-3-0

YOUR TAX PAYER IDENTIFICATION  
\*\*\*\*\*

REDACTED

DATE	BOUGHT RECEIVED OR LONG	SOLD DELIVERED OR SHORT	TRN	DESCRIPTION	PRICE OR SYMBOL	AMOUNT DEBITED TO YOUR ACCOUNT
11/05				BALANCE FORWARD		409,949.24
11/05				CHECK		60,000.00
				FIDELITY SPARTAN	CW	
				U S TREASURY MONEY MARKET	DTV	
11/05		19,188	35728	DIV 11/05/08	1	
				FIDELITY SPARTAN		
11/05		50,000	35745	U S TREASURY MONEY MARKET	99.879	
				U S TREASURY BILL		
				DUE 2/12/2009		
11/05			35778	2/12/2009	1	9,135.00
	9,135			FIDELITY SPARTAN		
11/12	5,880		1880	U S TREASURY MONEY MARKET	29.800	175,459.00
11/12	4,200		2382	WELLS FARGO & CO NEW	34.900	146,748.00
11/12	3,640		6206	HEWLETT PACKARD CO	55.830	203,356.20
11/12	2,380		6708	WAL-MART STORES INC	87.270	207,797.60
11/12	8,820		10532	INTERNATIONAL BUSINESS MACHS	72.980	643,153.60
11/12	9,660		11034	EXXON MOBIL CORP	14.510	140,552.60
11/12	4,620		15360	INTEL CORP	59.580	275,443.60
11/12	6,300		19685	JOHNSON & JOHNSON	38.530	242,991.00
11/12	3,360		24011	J.P. MORGAN CHASE & CO	44.660	150,191.60
11/12	1,960		28337	COCA COLA CO	55.370	108,603.20
11/12	3,640		32663	MCDONALDS CORP	28.550	104,067.00
11/12	13,300		36989	MERCK & CO	21.810	290,605.00
				MICROSOFT CORP		
				CONTINUED ON PAGE 2		

Madoff Securities Int  
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**BERNARD L. MADOFF**  
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New York ☐ London

**\*\*DUPLICATE\*\* FOR ACCOUNT NTC & CO.  
STANLEY T MILLER**

PERIOD ENDING  
11/30/03

YOUR ACCOUNT NUMBER  
1-78284-3-0

YOUR TAX PAYER IDENTIFICATION  
\*\*\*-\*\*-\*\*\*\*

REDACTED

DATE	BOUGHT RECEIVED OR LONG	SOLD DELIVERED OR SHORT	TRN	DESCRIPTION	PRICE OR SYMBOL	AMOUNT DEBITED TO YOUR ACCOUNT
11/12	6,720		41315	ORACLE CORPORATION	17.300	115,524.00
11/12	2,660		54293	PEPSICO INC	56.410	150,156.60
11/12	1,540		54795	APPLE INC	100.780	155,262.20
11/12	11,340		58619	PFIZER INC	16.940	192,552.60
11/12	2,660		59121	ABBOTT LABORATORIES	54.610	145,368.60
11/12	5,040		62945	PROCTER & GAMBLE CO	64.080	323,164.20
11/12	1,820		63447	AMGEN INC	59.160	107,743.20
11/12	3,500		67271	PHILLIP MORRIS INTERNATIONAL	43.600	152,740.00
11/12	8,400		67773	BANK OF AMERICA	21.590	181,692.00
11/12	2,800		71597	QUALCOMM INC	33.770	94,668.00
11/12	9,100		72099	CITY GROUP INC	12.510	114,205.00
11/12	2,100		75923	SCHLUMBERGER LTD	49.480	103,992.00
11/12	5,040		76425	COMCAST CORP CL A	16.510	83,411.40
11/12	9,940		80249	AT&T INC	27	268,777.00
11/12	2,520		80751	CONOCOPHILIPS	52.510	132,425.20
11/12	1,680		84575	UNITED PARCEL SVC INC CLASS B	52.040	87,494.20
11/12	10,220		85077	CISCO SYSTEMS INC	16.730	171,388.60
11/12	2,940		88901	U S BANCORP	29.530	86,935.20
11/12	3,500		89403	CHEVRON CORP	73.430	257,145.00
11/12	1,680		93227	UNITED TECHNOLOGIES CORP	53.160	89,375.80
11/12	17,780		93729	GENERAL ELECTRIC CO	19.630	349,732.40
11/12	4,760		97553	VERIZON COMMUNICATIONS	30.410	144,941.60
11/12	420		98055	GOOGLE	337.400	141,724.00
CONTINUED ON PAGE 3						

Madoff Securities Ltd

Mayfair

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**BERNARD L. MADOFF**  
INVESTMENT SECURITIES LLC  
New York ☐ London

**\*\*DUPLICATE\*\* FOR ACCOUNT NTC & CO.  
STANLEY T MILLER**

PERIOD ENDING  
11/30/08

YOUR ACCOUNT NUMBER  
1-7R2B4-3-0

YOUR TAX PAYER IDENTIFICATION  
\*\*\*\*\*

**REDACTED**

DATE	BOUGHT RECEIVED OR LONG	SOLD DELIVERED OR SHORT	TRN	DESCRIPTION	PRICE OR SYMBOL	AMOUNT DEBITED TO YOUR ACCOUNT
11/12		6,400,000	24486	U S TREASURY BILL DUE 2/12/2009	99.936	
11/12				FIDELITY SPARTAN 2/12/2009	DIV	
11/12		9,135	19590	U S TREASURY MONEY MARKET DIV 11/12/08	1	35,353.00
11/12	36,363		28958	FIDELITY SPARTAN U S TREASURY MONEY MARKET	1	
11/19				FIDELITY SPARTAN U S TREASURY MONEY MARKET	DIV	
11/19		36,363	54506	U S TREASURY MONEY MARKET DIV 11/19/08	1	
11/19	450,000		58905	FIDELITY SPARTAN U S TREASURY MONEY MARKET	1	449,667.00
11/19	6,140		63542	U S TREASURY BILL DUE 03/26/2009	99.926	
				3/26/2009		
				FIDELITY SPARTAN U S TREASURY MONEY MARKET	1	6,140.00
				NEW BALANCE		801,109.18
				SECURITY POSITIONS AT&T INC	MKT PRICE 28.560	
	9,940			CONTINUED ON PAGE 4		

Madoff Securities Int  
Mayfair.

885 Third Avenue  
New York, NY 10022  
(212) 230-2424  
800 334-1343  
Fax (212) 838-4061

**BERNARD L. MADOFF**  
INVESTMENT SECURITIES LLC  
New York ☐ London

**\*\*DUPLICATE\*\* FOR ACCOUNT NTC & CO.  
STANLEY T MILLER**

PERIOD ENDING  
11/30/08

YOUR ACCOUNT NUMBER  
1-7R284-3-0

YOUR TAX PAYER IDENTIFICATION  
\*\*\*\*\*

REDACTED

DATE	BOUGHT RECEIVED OR LONG	SOLD DELIVERED OR SHORT	TRN	DESCRIPTION	PRICE OR SYMBOL	AMOUNT DEBITED TO YOUR ACCOUNT
	2,660			ABBOTT LABORATORIES	52.390	
	1,820			AMGEN INC	55.540	
	1,540			APPLE INC	92.670	
	8,400			BANK OF AMERICA	16.250	
	3,500			CHEVRON CORP	75.010	
	10,220			CISCO SYSTEMS INC	16.540	
	9,100			CITI GROUP INC	8.290	
	3,360			COCA COLA CO	46.870	
	5,040			COMCAST CORP	17.340	
				CL A		
	2,520			CONOCOPHILIPS	52.520	
	8,820			EXXON MOBIL CORP	80.150	
	17,780			GENERAL ELECTRIC CO	17.170	
	420			GOOGLE	292.960	
	4,200			HEWLETT PACKARD CO	35.280	
	9,660			INTEL CORP	13.800	
	2,380			INTERNATIONAL BUSINESS MACHS	81.600	
	6,300			J.P. MORGAN CHASE & CO	31.660	
	4,620			JOHNSON & JOHNSON	58.580	
	1,960			MCDONALDS CORP	58.750	
	3,640			MERCK & CO	26.720	
	13,300			MICROSOFT CORP	20.220	
	6,720			ORACLE CORPORATION	16.090	
	2,660			PEPSICO INC	56.700	
	11,340			PFIZER INC	16.430	
				CONTINUED ON PAGE		
						5

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**BERNARD L. MADOFF**  
INVESTMENT SECURITIES LLC  
New York ☐ London

DUPLICATE\*\* FOR ACCOUNT NTC & CO.  
STANLEY T MILLER

**RECEIVED**

PERIOD ENDING  
11/30/08

YOUR ACCOUNT NUMBER  
1-7R284-3-0

YOUR TAX PAYER IDENTIFICATION  
\*\*\*\*\*

DATE	BOUGHT RECEIVED OR LONG	SOLD DELIVERED OR SHORT	TRN	DESCRIPTION	PRICE OR SYMBOL	AMOUNT DEBITED TO YOUR ACCOUNT
	3,500			PHILLIP MORRIS INTERNATIONAL	42.160	
	5,040			PROCTER & GAMBLE CO	64.350	
	2,800			QUALCOMM INC	33.570	
	2,100			SCHLUMBERGER LTD	50.740	
	6,140			FIDELITY SPARTAN	1	
	2,940			U S TREASURY MONEY MARKET	26.980	
	1,680			U S BANCORP	57.600	
	450,000			UNITED PARCEL SVC INC CLASS B	99.971	
				U S TREASURY BILL DUE 03/26/2009	149.530	
	1,680			3/26/2009	32.650	
	4,760			UNITED TECHNOLOGIES CORP	55.880	
	3,640			VERIZON COMMUNICATIONS	28.890	
	5,880			WAL-MART STORES INC		
				WELLS FARGO & CO NEW		
				MARKET VALUE OF SECURITIES LONG		
				6,624,170.10		
				SHORT		

Madoff Securities Ltd

Mayfair

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**BERNARD L. MADOFF**  
INVESTMENT SECURITIES LLC  
New York ☐ London

**\*\*DUPLICATE\*\* FOR ACCOUNT NTC & CO.  
STANLEY T MILLER**

PERIOD ENDING  
11/30/03

YOUR ACCOUNT NUMBER  
1-ZR784-3-0

YOUR TAX PAYER IDENTIFICATION  
\*\*\*\*\*

REDACTED

DATE	BOUGHT RECEIVED OR LONG	SOLD DELIVERED OR SHORT	TRN	DESCRIPTION	PRICE OR SYMBOL	AMOUNT DEBITED TO YOUR ACCOUNT
				YEAR-TO-DATE SUMMARY DIVIDENDS GROSS PROCEEDS FROM SALES		

PLEASE RETAIN THIS STATEMENT FOR INCOME TAX PURPOSES

Madoff Securities Inc.

Mayfair

885 Third Avenue  
New York, NY 10022  
(212) 230-2424  
800 334-1343  
Fax (212) 838-4061

**BERNARD L. MADOFF**  
INVESTMENT SECURITIES LLC  
New York ☐ London

**\*\*DUPLICATE\*\* FOR ACCOUNT NTC & CO.  
STANLEY T MILLER**

PERIOD ENDING  
11/30/03

YOUR ACCOUNT NUMBER  
1-7R284-4-0

YOUR TAX PAYER IDENTIFICATION  
\*\*\*\*\*

**REDACTED**

DATE	BOUGHT RECEIVED OR LONG	SOLD DELIVERED OR SHORT	TRN	DESCRIPTION	PRICE OR SYMBOL	AMOUNT DEBITED TO YOUR ACCOUNT
11/12				BALANCE FORWARD		
11/12	140		45641	S & P 100 INDEX NOVEMBER 460 CALL	15.800	249,340.00
11/19		140	49967	S & P 100 INDEX NOVEMBER 450 PUT	17.800	
11/19			36071	S & P 100 INDEX DECEMBER 430 CALL	26	
11/19	140		40396	S & P 100 INDEX DECEMBER 420 PUT	30	420,140.00
11/19		140	44721	S & P 100 INDEX NOVEMBER 460 CALL	3	42,140.00
11/19			49046	S & P 100 INDEX NOVEMBER 450 PUT	137	
				NEW BALANCE		
				SECURITY POSITIONS		
		140		S & P 100 INDEX DECEMBER 430 CALL	MKT PRICE 23.300	
	140			S & P 100 INDEX DECEMBER 420 PUT	16.500	
				MARKET VALUE OF SECURITIES		
				LONG		326,200.00-
				SHORT		

## **INVESTMENT DOCUMENTS**



BERNARD L. MADOFF  
Investment Securities  
New York □ London

885 Third Avenue  
New York, NY 10022  
(212) 230-2400  
(800) 221-2242  
TELEX 235 130  
FAX (212) 486-8178

WE HAVE THIS DAY CREDITED YOUR  
ACCOUNT WITH THE FOLLOWING: CHECK

11/09/98

3,000,000.00

CLIENT'S ACCOUNT NUMBER

RETIREMENT ACCTS INC CUST IRA  
FBO STANLEY T MILLER (106802)

1-ZR284-3

REDACTED

11.14.98 11110.00.002.00

**TELECOPIE**

*Trader*

STANLEY T. MILLER

Adresse:

**REDACTED**

Date Nov. 4/98  
Nombre total de pages (3)

DESTINATAIRE:

Susan Waugh  
RAI  
303 294 5899

EXPEDITEUR:

STANLEY T. MILLER

REFERENCE:

**MESSAGE:**

Susan:

Per our telephone conversation of today please find attached an Investment Authorization Form signed by Stanley T. Miller as requested. We will discuss the "Statement of Account Set-up" with Jodie Crupi of Bernard Madoff Investment Securities tomorrow afternoon so that RAI, upon the receipt of funds from Paine Webber, may wire the funds below to Bernard Madoff Investment Securities.

*Chg 11/5/98*

A check/s in the amount of \$3,000,000. has been Fedexed to Retirement Accounts, Inc. to the attention of Sharon Koehler today by Paine Webber effecting the IRA transfer. This should arrive at Retirement Accounts, Inc by tomorrow. It is important that this funds be transferred via wire to Bernard L. Madoff Investments Securities into Stanley T. Miller's account with them as soon as possible. Please watch out for the FEDEX Package.

*\$10 ✓*

If you have any problems with the incoming check/s, please call Dina Feder of Paine Webber at 800-682-7275 or 212-309 3000. I am off work tomorrow but will make it a point to be in the office around 2:00 so I can be available for RAI and Bernard Madoff Investments on the other requirement.

I appreciate all your help.

*Ⓢ call for ak #*

Sincerely,

*Maria Bergman*  
Maria Bergman

Assistant to Stanley T. Miller

*ref # 1105. KK16.3345  
to send Fed Ex A/B*

1/3 (WED) 11:04:98 16:25/ST. 16:24/NO. 3562135816 P

MARIA

FROM



**RETIREMENT  
ACCOUNTS, INC.**

Retirement Accounts, Inc.  
717 17th Street, Suite 1700  
Denver, Colorado 80202-3323  
1-800-325-4351

Please direct mail to:  
P.O. Box 171785  
Denver, CO 80217-3785

## Investment Authorization Form

### Important! Read before completing this form:

Use this form only for investments not traded over a securities exchange. All other investments (such as stock and bond trades) must be made through your Designated Representative.

Please do not use this form to authorize investments in notes secured by mortgages, deeds of trust or viaticals and similar investments. Special forms/procedures for these investments are available upon request from any Customer Service Representative.

Important disclosures concerning the investments authorized on this form appear on the reverse side.

Account Owner's Name STANLEY T. MILLER

Retirement Accounts, Inc. Account # [REDACTED]

Investment Instructions: Many securities have similar names. Please list the full investment description; do not abbreviate. Use additional photocopies of this form, if necessary. By signing this form, you agree to the terms stated herein which apply to your investment(s) and hereby authorize Retirement Accounts, Inc. (RAI) to process the following transaction(s):

### Purchases

Investment Name

000990309

(For Mutual Funds Only)  
Class (A, B, C, D, etc.)

Amount

BERNARD L. MADOFF INVESTMENT SECURITIES

\$3,000,000.00

Liquidations If proceeds are to be sent to the Account Owner, an IRA Distribution Request Form is required before funds may be distributed from the RAI account.

Investment Name

Shares

or

Dollars

Exchanges You MUST indicate dollars or shares, but not both.

Investment Name

Shares

or

Dollars

### Special Instructions

Please indicate the account number at the fund or other financial institution for each investment, if known, and any special trading instructions such as overnight or wire service for an additional fee, N.A.V. arrangement with fund, etc. All purchases will be sent via first class mail unless you provide other, written instructions. Please contact RAI if you want express delivery; additional fees may apply. If funds are to be wired, attach written wire instructions. An additional fee may apply. Also, designate for which transaction (if not all) the special instructions apply.

### Acknowledgment and Signature

I verify that I have received and read all pertinent information relating to the above investment(s) (i.e., prospectus, offering circular, investment agreement, etc.), and that I meet the suitability requirements of the offering(s) indicated. I understand that Retirement Accounts, Inc. is not related to the sponsor(s) of the investment(s) I selected and does not comment on the merits of any offering. I further understand Retirement Accounts, Inc.'s valuation reporting policy (as defined on the back of my account statement), and fee policies associated with my request. I attest that the investment does not constitute a prohibited transaction as defined in Internal Revenue Code Section 4975 and outlined in the plan documents. I agree to the arbitration statement on the back of this page. I hereby agree to indemnify and hold harmless Retirement Accounts, Inc. for any and all costs, obligations, losses, claims, damages and expenses (including reasonable attorney's fees) resulting from the above authorization.

Authorized Signature X [Signature]

Date NOV. 4, 1998

Phone [REDACTED]

(Continued on the reverse side.)

© Retirement Accounts, Inc., 1997

RAI-1562b (rev. 7/97)

2/3 WRP11 04:98 16:25/ST.16:24/NO.3562135816 P

MARIA

FROM

**Individual Retirement Account Statement**  
October 1, 1998 - December 31, 1998

STANLEY T. MILLER

REDACTED

Retirement Account Number: [REDACTED]

Trustee Tax ID# [REDACTED]

SSN# [REDACTED]

**ACCOUNT SUMMARY**

**ACCOUNT VALUE**

Current Period

Additional Investments	\$3,077,128.21
<b>Total Account Value</b>	<b>\$3,077,128.21</b>

Prior Period

<b>Total Account Value</b>	<b>\$0.00</b>
----------------------------	---------------

**CONTRIBUTION AND  
DISTRIBUTION SUMMARY**

Rollovers

Current Tax Year	\$0.00
------------------	--------

Distributions

Current Tax Year	\$0.00
------------------	--------

Contributions

Current Tax Year (1998)	\$0.00
-------------------------	--------

Prior Tax Year (1997)	\$0.00
-----------------------	--------

Employer Contributions

Received Current Year	\$0.00
-----------------------	--------

**ACCOUNT INVESTMENTS**

**ADDITIONAL INVESTMENTS**

Description	Account Number	Shares	Price Per Share	Total Value
BERNARD L MADOFF BRKG ACCT VALUE		3,077,128.2100	1.0000	3,077,128.21
<b>Total Value of Additional Investments</b>				<b>\$3,077,128.21</b>
<b>TOTAL ACCOUNT VALUE</b>				<b>\$3,077,128.21</b>

**ACCOUNT TRANSACTIONS**

Page 2 of 2  
106802-0001

Transaction Date	Transaction	Transaction Description	Transaction Shares	Transaction Amount
11/05/98	Cash Transfer	FUNDS TRANSFERRED FROM FORMER TRUSTE		2,000,000.00
11/05/98	Cash Transfer	FUNDS TRANSFERRED FROM FORMER TRUSTE		1,000,000.00
11/05/98	Shares Purchased	BERNARD L MADOFF BRKG ACCT VALUE		3,000,000.00
12/29/98	Cash Transfer	FUNDS TRANSFERRED FROM FORMER TRUSTE		500,000.00
12/30/98	Fee Collection			-93.00
12/30/98	Cash Adjustment	BERNARD MADOFF		-499,907.00

**IMPORTANT ACCOUNT INFORMATION**

Visit our website at [www.retirementaccountsinc.com](http://www.retirementaccountsinc.com).

Please refer to the back of this statement for important information.

X X



BERNARD L. MADOFF  
Investment Securities  
New York □ London

885 Third Avenue  
New York, NY 10022  
(212) 230-2400  
(800) 221-2242  
TELEX 235 130  
FAX (212) 486-8178

WE HAVE THIS DAY CREDITED YOUR  
ACCOUNT WITH THE FOLLOWING.

1/07/99

CHECK

499,907.00

CLIENT'S ACCOUNT NUMBER

RETIREMENT ACCTS INC CUST IRA  
FBO STANLEY T MILLER (106802)

1-ZR284-3

REDACTED



BERNARD L. MADOFF  
Investment Securities  
New York □ London

WE HAVE THIS DAY **CREDITED YOUR**  
ACCOUNT WITH THE FOLLOWING:

885 Third Avenue  
New York, NY 10022  
(212) 230-2400  
(800) 221-2242  
TELEX 235 130  
FAX (212) 486-8178

1/12/99

CHECK

93.00

CLIENT'S ACCOUNT NUMBER

RETIREMENT ACCTS INC CUST IRA  
FBO STANLEY T MILLER (106802)

1-ZR284-3

REDACTED

**Individual Retirement Account Statement**  
January 1, 1999 - March 31, 1999

STANLEY T. MILLER

**REDACTED**

Retirement Account Number: [REDACTED]

Trustee Tax ID# [REDACTED]

SSN# [REDACTED]

**ACCOUNT SUMMARY**

**ACCOUNT VALUE**

Current Period

Additional Investments \$3,077,128.21  
Total Account Value \$3,077,128.21

Prior Period

Total Account Value \$3,077,128.21

**CONTRIBUTION AND  
DISTRIBUTION SUMMARY**

Rollovers

Current Tax Year \$0.00

Distributions

Current Tax Year \$0.00

Contributions

Current Tax Year (1999) \$0.00

Prior Tax Year (1998) \$0.00

Employer Contributions

Received Current Year \$0.00

**ACCOUNT INVESTMENTS**

**ADDITIONAL INVESTMENTS**

Description	Account Number	Shares	Price Per Share	Total Value
BERNARD L MADOFF BRKG ACCT VALUE		3,077,128.2100	1.0000	3,077,128.21

Total Value of Additional Investments \$3,077,128.21

**TOTAL ACCOUNT VALUE \$3,077,128.21**

**ACCOUNT TRANSACTIONS**

Page 2 of 2  
106802-0001

Transaction Date	Transaction	Transaction Description	Transaction Shares	Transaction Amount
01/04/99	Fee	FEE REIMBURSEMENT		93.00
	Reimbursement			
01/07/99	Cash Adjustment	BERNARD MADOFF		-93.00

**IMPORTANT ACCOUNT INFORMATION**

Visit our website at [www.retirementaccountsinc.com](http://www.retirementaccountsinc.com)

Individual Retirement Account Statement  
April 1, 1999 - June 30, 1999

STANLEY T. MILLER

REDACTED

Participant Name: STANLEY T. MILLER  
Retirement Account Number: [REDACTED]

Trustee Tax ID#: [REDACTED]

SSN: [REDACTED]

ACCOUNT SUMMARY

REPORTED ACCOUNT VALUE

Current Period

Brokerage Accounts	\$4,565,016.38
Cash	24.45
Account Value Subtotal	\$4,565,040.83
Total Account Value	\$4,565,040.83

Prior Period

Total Account Value	N/A
---------------------	-----

CONTRIBUTION AND  
DISTRIBUTION SUMMARY

Rollovers

Current Tax Year	\$0.00
------------------	--------

Contributions

Current Tax Year (1999)	\$0.00
Prior Tax Year (1998)	\$0.00

Employer Contributions

Received Current Year	\$0.00
-----------------------	--------

Roth Conversion

Current Tax Year	N/A
------------------	-----

Distributions

Current Tax Year	\$0.00
------------------	--------

IMPORTANT ACCOUNT INFORMATION

Please be sure to review the reverse side of this statement for more important account information and retain this statement for your records. Report any discrepancies to us immediately.

The previous quarter value information is currently unavailable.

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Page 2 of 2

## ACCOUNT INVESTMENTS

### BROKERAGE ACCOUNTS

<u>Description/Account Number</u>	<u>Previous Reported Value</u>	<u>Current Reported Value</u>
BERNARD L MADOFF BRKG ACCT VALUE 1ZR2843	N/A	4,565,016.38
Total Value of Brokerage Accounts		\$4,565,016.38

### CASH

<u>Description</u>	<u>Interest Rate</u>	<u>Annual Percentage Yield</u>	<u>Previous Reported Value</u>	<u>Current Reported Value</u>
PEAK MONEY MARKET	1.7800	1.7900	N/A	24.45
Total Value of Cash				\$24.45
TOTAL ACCOUNT VALUE				\$4,565,040.83

## ACCOUNT TRANSACTIONS

<u>Transaction Date</u>	<u>Transaction</u>	<u>Transaction Description</u>	<u>Transaction Shares</u>	<u>Transaction Amount</u>
04/26/1999	CASH ADJUSTMENT INCREASE	WIRE REC'D-PAINWEBBER-BANK OF NY		500,000.00
04/28/1999	FEE COLLECTION			-10.00
04/29/1999	PURCHASE	BERNARD L MADOFF BRKG ACCT VALUE		-499,990.00
04/30/1999	INTEREST			24.38
05/28/1999	INTEREST			0.03
06/30/1999	INTEREST			0.04

## **TRANSFER DOCUMENTS**

FROM STANLEY METAL

(FRI) 11:31:00 13:27/ST 13:26/NO. 4862799795 P 2



# RETIREMENT ACCOUNTS, INC.

Retirement Accounts, Inc.  
717 17th Street, Suite 1100  
Denver, Colorado 80202-3322  
1-800-325-4352

Please direct mail to:  
P.O. Box 173785  
Denver, CO 80217-3785  
Fax 303-294-5899

## IRA Distribution Request Form

### 1. IRA Account Owner Information (Please type or print.)

Retirement Accounts, Inc. Account # [REDACTED]

Name STANLEY T. MILLER

Residence Address (no P.O. Box) [REDACTED]

City/State/Zip [REDACTED]

Check here if this is a change of address. ☐

Daytime Phone [REDACTED]

Date of Birth [REDACTED]

Social Security # [REDACTED]

Retirement Accounts, Inc. (RAI) as Trustee of my Individual Retirement Account, is requested to make payment(s) to me as follows:

### 2. Reason for Distribution (Check one)

I understand that a 10% premature distribution penalty tax may apply to any distribution I take from my IRA if I am under age 59½ and no other reason or exception applies. (Check one)

☒ A. I am over age 59½. (If this request is meant to satisfy a required minimum distribution, please complete Section 5, Required Minimum Distributions.)

☐ B. I am under age 59½ and no other reason or exception applies.

☐ C. I am disabled as defined in Section 72(m)(7) of the Internal Revenue Code. (It is the Account Owner's responsibility to determine higher eligibility and to provide any proof that may be required by the IRS when taking a distribution that is attributable to disability.)

☐ D. This distribution is intended to qualify as a substantially equal periodic payment under Section 72(t)(2)(A)(iv) of the Internal Revenue Code. (It is the Account Owner's responsibility to determine the annual amount required.)

☐ E. I wish to have a recent contribution removed or corrected. (Please also complete Section 6.)

☐ F. This distribution is intended to qualify as an exception to Section 72(t) of the Internal Revenue Code (10% Additional Tax on Early Distributions from Qualified Retirement Plans):

☐ Medical Expenses - 72(t)(2)(B)

☐ Distributions to unemployed individuals for health insurance premiums - 72(t)(2)(D)

☐ Distribution from Individual Retirement Plans for higher education expenses - 72(t)(2)(E)

☐ Distributions from certain plans for first home purchases - 72(t)(2)(F)

It is the Plan Owner's responsibility to determine higher eligibility and to provide any proof that may be required by the IRS when taking a distribution that is meant to qualify as an exception to Section 72(t) of the Internal Revenue Code. RAI will report such distributions to the IRS as either regular or premature distributions depending upon the Plan Owner's age.

Do not use this form for a Trustee-to-Trustee Transfer.

### 3. Payment Amount(s) (Check one and complete applicable blanks.)

☒ A. Single Payment  
I wish to withdraw \$20,000.

from my IRA. (Fill in dollar amount or write in "total amount," or "200 shares of XYZ stock," etc.)

### ☐ B. Installment Payments.

If you are age 70½ or older, please read and complete the "Information Concerning Minimum Distributions" in Section 5. Then complete following items 2, 3 and 4. Otherwise, complete all information following.

1. Installment payments are a specified dollar amount or are based on a given payment period. I choose:

☐ a. an amount of \$\_\_\_\_\_ for each payment period. (Specify exact amount or order "all available cash.")

☐ b. a fixed period of \_\_\_\_\_ years.

2. The first payment should be deducted in the month of \_\_\_\_\_

3. Please deduct and send my payments (choose one):

☐ near the beginning of the applicable month.

☐ near the middle of the applicable month.

☐ at the end of the applicable month.

4. Subsequent payments are to be paid (choose one):

☐ annually ☐ semiannually

☐ quarterly ☐ monthly

(Note: It is the Plan Owner's responsibility to ensure that enough cash is available to make each payment when due. Payments will continue until you notify RAI to stop.)

### 4. Asset Instructions

☐ A. Payment to be made in cash (Choose all that apply.)

☐ 1. I authorize RAI to liquidate the asset(s) listed on page two. If you check the systematic withdrawal option, the frequency of the withdrawal will be the same as the payout frequency indicated in Section 3.3.4.

☐ 2. I have contacted my Designated Representative to liquidate any broker-held assets (such as stocks and bonds) or any limited partnerships or other illiquid assets I choose to have sold. My Designated Representative will be responsible for forwarding funds to RAI.

☐ 3. My Peak Money Market balance is sufficient to make the distribution.

☒ 4. I authorize RAI to request funds from my brokerage account at

12R284-3-0  
RAMDOFF INVESTMENT  
SECURITIES brokerage firm.

(Continued on the reverse side.)

FROM STANLEY METAL

(FRI) 11:37:00 13-27/ST. 13:26/NO. 4862799795 P 3

## RAI Distribution Request (continued)

☐ B. Distribute (reregister) shares of the following assets into my personal name to the extent of the dollar amount.

Please list assets here:

# of Shares or S&Bs	Name of Asset	Liq. / Sell	Re- register	Systematic Withdrawal
		<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
		<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
		<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
		<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

Please also distribute \$\_\_\_\_\_ cash in addition to the assets listed above (for example, total cash balance or a specific dollar amount). (Please leave blank if you do not want cash in addition to the registered assets.)

For limited partnerships and/or other illiquid assets, it is the responsibility of the IRA Account Owner and/or his/her Designated Representative to find a buyer and arrange the terms of the sale of the asset. (Attach RAI's Secondary Market Investment Authorization Form if you are selling a limited partnership.) If one or more of the assets requested for distribution is a Mortgage Note (or similar investment), the IRA Account Owner is responsible for ensuring that RAI receives all necessary documents to effect proper assignment of the asset.

#### 5. Required Minimum Distributions (Complete only if Box E in Section 2 is checked.)

Information Concerning Required Minimum Distributions  
(Complete this section only if you are age 70½ or older.)

**Deadline:** IRS rules require that you begin receiving distributions from your IRA by your "required beginning date" (April 1 following the calendar year in which you become age 70½), and then again by December 31 of that same year and every year thereafter. You should give RAI to make your minimum distribution. You must receive these instructions at least 30 days prior to the required distribution date. Substantial IRS penalty taxes may be assessed if the appropriate IRS deadline is not met.

**Amount:** The minimum amount required to be distributed generally is based on the prior year end total IRA value divided by the applicable life expectancy or by a fixed number of years.

**To complete this section:** Please complete all applicable information below to specify how you wish to handle the required distributions from your account. If we do not hear from you by your required distribution date, we will assume that you have met your required minimum by taking distribution from another IRA.

Required Minimum Distributions are based on the length of the payment period under A, B or C below. I choose:

- ☐ A. my life expectancy as specified by IRS tables.  
☐ B. the joint life expectancy of me and my designated beneficiary (based on IRS tables).  
☐ C. a fixed period of \_\_\_\_\_ years (not to exceed B above).

**Life expectancy election:** (If your "required beginning date" has passed, please indicate the option that was previously elected as of your required beginning date. If you leave this section blank, neither your life expectancy nor the life expectancy of your spouse beneficiary will be recalculated.)

In determining the minimum required distribution for any calendar year (check the applicable boxes):

- ☐ D. my life expectancy: ☐ should ☐ should not be recalculated each year.  
☐ E. the life expectancy of my spouse beneficiary: ☐ should ☐ should not be recalculated each year.

#### Note:

- The election to have or not have your or your spouse's life expectancy recalculated is irrevocable and must be made on or before your "required beginning date." Furthermore, IRS regulations do not allow the life expectancy of a nonspouse beneficiary to be recalculated.
- If you have designated a nonspouse beneficiary who is more than ten years younger than you, the joint life expectancy will be adjusted to satisfy the incidental death benefit rules imposed by the IRS.
- Your required minimum distribution may not be calculated based on joint life expectancy if you have designated your estate as the primary beneficiary of your IRA.

#### 6. Removal/Correction of Contribution (Complete this Section only if Box F in Section 2 is checked.)

- A. Contribution was made for tax year \_\_\_\_\_.
- B. My tax-filing deadline for that year, plus any applicable extensions, is (fill in date) \_\_\_\_/\_\_\_\_/\_\_\_\_.
- C. Contribution was deposited as RAI on \_\_\_\_/\_\_\_\_/\_\_\_\_.
- D. Was this an excess SEP Employer contribution?  
☐ Yes ☐ No (Go on to Item E.)
1. If "Yes," fill in amount of excess SEP Employer contribution:  
 \$\_\_\_\_\_.
2. If "Yes," I understand that RAI is required to redesignate and report the excess Employer contribution amount as a regular IRA contribution on my behalf for the year designated in item A above. (If you do not intend to remove any portion of this redesignated amount, check this box ☐ and continue to Section 10.)
- E. Check 1, 2 or 3 and complete item as applicable:

- ☐ 1. It is BEFORE the applicable tax-filing deadline for that contribution plus extensions. Please recharacterize the excess contribution amount (named in Section 3.A.) in the form of cash to my RAI Roth IRA Account # \_\_\_\_\_ as a nondeductible contribution for the \_\_\_\_\_ tax year. I understand that any earnings attributable to this amount also will be transferred in cash to my Roth IRA. I understand that this option is available only if I have not yet taken a deduction for this contribution under this transferor IRA plan, and only if I have made sufficient cash available in my account by the transfer date.
- ☐ 2. It is BEFORE the applicable tax-filing deadline for that contribution, plus extensions (I wish to avoid the 6% IRS penalty tax). I understand that applicable earnings on the contribution must be returned to me, under IRS rules, and that these earnings are taxable to me. (check one):
- ☐ a. Return contribution plus earnings to me.  
☐ b. Remove contribution plus earnings and redeposit only the contribution for the subsequent tax year \_\_\_\_\_.
- ☐ 3. It is AFTER the applicable tax-filing deadline for that contribution, plus extensions (no earnings will be returned). Please return the excess contribution to me. I understand that a 6% IRS penalty tax will apply.

(Note: Indicate the amount of the contribution to be returned in Section 3 on page 1. The dollar amount you fill in there should be the amount of the excess contribution to be returned. If the option you chose above requires earnings to be returned, these will be calculated by RAI and added to the requested distribution.)

(Continued on the next page.)

FROM STANLEY METAL

(FRI) 11 3'00 13:28/ST. 13:26/NO. 4862799795 P 4

## RAI IRA Distribution Request (continued)

## 7. Payment Delivery (Check one)

☐ A. Mail by First Class mail to:Name STANLEY T. MILLER

Mailing Address

City/State/Zip

☐ B. Wire funds

Bank Name

Bank ABA No.

Bank Account No.

City/State/Zip

☐ C. Please send cash to me via EFT (see attached information).☐ D. Transfer/journal the above distribution(s) to my personal account no. \_\_\_\_\_ at my designated brokerage firm.  
(Securities can be transferred/journaled only within the same brokerage firm.)☒ E. Special instructions:PLEASE FEDEX USING  
Our Fedex # 1003-1240-9

If no selection is made, payment will be sent to address of record. Distributions may only be sent to the Account Owner or Account Owner's personal brokerage or bank account. There is a \$35 charge per wire. Fees may apply for special delivery services.

☐ F. Send the distribution to my RAI Roth IRA account # \_\_\_\_\_ (for rollover conversion purposes only). To establish a Conversion Roth IRA, a Roth IRA Application form must be completed.

## 8. Tax Withholding (Choose one)

☒ A. I do NOT want federal income tax withheld from my distribution(s). (Note: Even if you choose this option, federal income tax must be withheld from this distribution if you have not provided a U.S. residence address (not a P.O. Box) in Section 1.)☐ B. I DO want federal income tax withheld from my distribution(s). I understand that \_\_\_\_\_ percent (10%) of the distribution will be withheld. (If you want a greater percentage withheld, indicate here: \_\_\_\_\_ %. A percentage amount must be designated.)

(See the notice of tax withholding at the end of this form. If no choice is made above, withholding tax will be deducted.)

## 9. Account Information (Check one)

☐ A. I DO wish to close my account at this time. I understand that the requested distribution will be subject to a termination fee(s).☒ B. I do NOT wish to close my account at this time.

Termination fees, if not included with a request to terminate or transfer the account, will be deducted from available Peak Money Market Account funds. If cash is unavailable, termination fees will be billed.

## 10. Transaction, Termination and Special Processing Fees

I understand that the requested distribution(s) will be subject to the fees and policies outlined below:

Transaction Fee Eight free transactions (including distributions) per year. \$10 for each additional transaction.	\$10
B. Termination Fee	\$100 for accounts under Simple Fee Schedule \$150 for accounts under Flexible Fee Schedule
C. Overnight Fee	\$10 - \$5 (International or Special Delivery may be higher.)
D. Wire Fee	\$35
E. Fee and Billing Policies Fees, including all current or past due administration fees, that are not included by check with the distribution request will be deducted from the Peak Money Market. If cash is not available, fees will be billed to the Account Owner, which may delay the distribution request. If a distribution results in an account value reduction to under \$100, RAI reserves the right to resign as trustee and close the account, subject to the fee schedule then in effect. We reserve the right to charge for extraordinary expenses.	

## 11. Signature and Acknowledgment

I acknowledge the declarations made above.

IRA Account Owner's

Signature X Stanley T. Miller

Date

NOVEMBER 3, 2000

One choice from each applicable item must be checked. When completed, please return this form to Retirement Accounts, Inc.

## Notice of Withholding on Distributions or Withdrawals From IRAs

This notice is required to be furnished to you by IRS regulations each time an IRA distribution is requested.

The distributions you receive from your retirement plan are subject to federal income tax withholding. You are liable for payment of federal income tax on the taxable portion of your distribution regardless of whether you elect to have tax withheld. If you have provided Retirement Accounts, Inc. your residence address within the United States, you may elect not to have withholding apply. Withholding will only apply to the portion of your distribution that is included in your income subject to federal income tax. If you have not provided Retirement Accounts, Inc. with your residence address, or if you have provided a residence address outside of the United States, withholding generally is required. You cannot elect out of withholding.

If you are eligible (as explained above), you may elect not to have withholding apply to your distribution payments by signing and dating the election form under Section 8 of the Distribution Request Form.

If you elect not to have withholding apply to your distribution payments, or if you do not have enough federal income tax withheld from your distribution, you may be responsible for payment of estimated tax.

If you intend to make a rollover deposit of the distribution you are receiving, you should consider the election not to have tax withheld.

If you do not return the election form before the distribution is made from your account, federal income tax will be withheld from your distribution.

Your election will remain in effect until you revoke it and will be honored for all future distributions from this IRA. You may revoke an election by completing a new Distribution Request Form.

Individual Retirement Account Statement  
October 1, 2000 - December 31, 2000

STANLEY T. MILLER

REDACTED

Participant Name: STANLEY T. MILLER  
Retirement Account Number: [REDACTED]

Trustee Tax ID#: [REDACTED]

SSN: [REDACTED]

ACCOUNT SUMMARY

REPORTED ACCOUNT VALUE

<u>Current Period</u>	
Brokerage Accounts	\$5,528,417.42
* Cash	50,012.90
Account Value Subtotal	\$5,578,430.32
Total Account Value	\$5,578,430.32

<u>Prior Period</u>	
Total Account Value	\$5,486,785.40

CONTRIBUTION AND  
DISTRIBUTION SUMMARY

<u>Rollovers</u>	
Current Tax Year	\$0.00
<u>Contributions</u>	
Current Tax Year (2000)	\$0.00
Prior Tax Year (1999)	\$0.00
<u>Employer Contributions</u>	
Received Current Year	\$0.00
<u>Roth Conversion</u>	
Current Tax Year	\$0.00
<u>Distributions</u>	
Current Tax Year	\$20,000.00

\* Only cash on deposit with the Trustee is FDIC Insured. No other investments are FDIC insured through the Trustee.

IMPORTANT ACCOUNT INFORMATION

Please be sure to review the reverse side of this statement for more important account information and retain this statement for your records. Report any discrepancies to us immediately.

As required by law, the fair market value reported on this statement will be furnished to the Internal Revenue Service on IRS Form 5498.

011068020001  
Page 2 of 2

## ACCOUNT INVESTMENTS

### BROKERAGE ACCOUNTS

<u>Description/Account Number</u>	<u>Previous Reported Value</u>	<u>Current Reported Value</u>
BERNARD L MADOFF BRKG ACCT VALUE ZR284	5,486,785.40	5,528,417.42
Total Value of Brokerage Accounts		\$5,528,417.42

### CASH

<u>Description</u>	<u>Interest Rate</u>	<u>Annual Percentage Yield</u>	<u>Previous Reported Value</u>	<u>Current Reported Value</u>
PEAK MONEY MARKET	1.8800	1.90	N/A	50,012.90
Total Value of Cash				\$50,012.90
TOTAL ACCOUNT VALUE				\$5,578,430.32

## ACCOUNT TRANSACTIONS

<u>Transaction Date</u>	<u>Transaction</u>	<u>Transaction Description</u>	<u>Transaction Shares</u>	<u>Transaction Amount</u>
11/15/2000	RECEIVE CHECK FROM BROKERAGE FIRM	BERNARD L. MADOFF CK # 112869		20,000.00
11/16/2000	IRA DISTRIBUTION	For Tax Year 2000		-20,000.00
12/22/2000	RECEIVE CHECK FROM BROKERAGE FIRM	BERNARD L. MADOFF CK # 113501		50,000.00
12/29/2000	INTEREST			12.90



**RETIREMENT  
ACCOUNTS, INC.**

Page 1 of 3

**Individual Retirement Account Statement  
October 1, 2001 - December 31, 2001**

STANLEY T. MILLER

14736

**REDACTED  
REDACTED**

Participant Name: STANLEY T. MILLER

Retirement Account Number: [REDACTED]

Trustee Tax ID #: [REDACTED]

**ACCOUNT SUMMARY**

**REPORTED ACCOUNT VALUE**

Current Period

Brokerage Accounts \$5,710,224.80

\* Cash 50,235.28

Account Value Subtotal \$5,760,460.08

Total Account Value \$5,760,460.08

Prior Period

Total Account Value \$5,715,156.32

**CONTRIBUTION AND  
DISTRIBUTION SUMMARY**

Rollovers

Current Tax Year \$0.00

Contributions

Current Tax Year (2001) \$0.00

Prior Tax Year (2000) \$0.00

Employer Contributions

Received Current Year \$0.00

Roth Conversion

Current Tax Year \$0.00

Distributions

\* Current Tax Year \$500,000.00

\* Only cash on deposit with the Trustee is FDIC Insured. No other investments are FDIC insured through the Trustee.

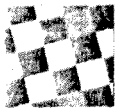
\*\* May include distributions that do not apply toward your required minimum distribution.

**IMPORTANT ACCOUNT INFORMATION**

Please be sure to review the reverse side of this statement for more important account information and retain this statement for your records. Report any discrepancies to us immediately.

As required by law, the fair market value reported on this statement will be furnished to the Internal Revenue Service on IRS Form 5498.





**RETIREMENT  
ACCOUNTS, INC.**

011068020001  
Page 2 of 3

## ACCOUNT INVESTMENTS

Investment Products: • Not FDIC Insured • No Bank Guarantee • May Lose Value

### BROKERAGE ACCOUNTS

<u>Description/Account Number</u>	<u>Previous Reported Value</u>	<u>Current Reported Value</u>
BERNARD L MADOFF BRKG ACCT VALUE ZR284	5,664,938.18	5,710,224.80
Total Value of Brokerage Accounts		\$5,710,224.80

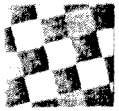
### CASH

<u>Description</u>	<u>Annual Percentage Yield</u>	<u>Previous Reported Value</u>	<u>Current Reported Value</u>
PEAK MONEY MARKET	0.60	50,218.14	50,235.28
Total Value of Cash			\$50,235.28
TOTAL ACCOUNT VALUE			\$5,760,460.08

## ACCOUNT TRANSACTIONS

<u>Transaction Date</u>	<u>Transaction</u>	<u>Transaction Description</u>	<u>Transaction Shares</u>	<u>Transaction Amount</u>
10/01/2001	IRA DISTRIBUTION	MONTHLY DISTRIBUTION For Tax Year 2001		-50,000.00
10/22/2001	FEE COLLECTION			-78.00
10/25/2001	CASH ADJUSTMENT INCREASE			50,000.00
10/31/2001	INTEREST			3.39
11/01/2001	IRA DISTRIBUTION	MONTHLY DISTRIBUTION For Tax Year 2001		-50,000.00
11/20/2001	CASH ADJUSTMENT INCREASE	BERNARD L MADOFF CHECK # 130169		50,000.00
11/26/2001	FEE REIMBURSEMENT			78.00
11/30/2001	INTEREST			7.68





**RETIREMENT  
ACCOUNTS, INC.**

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<u>Transaction Date</u>	<u>Transaction</u>	<u>Transaction Description</u>	<u>Transaction Shares</u>	<u>Transaction Amount</u>
12/03/2001	IRA DISTRIBUTION	MONTHLY DISTRIBUTION For Tax Year 2001		-50,000.00
12/24/2001	CASH ADJUSTMENT INCREASE			50,000.00
12/31/2001	INTEREST			6.07



<input type="checkbox"/> CORRECTED (if checked)		BR8-RAI	
PAYER'S name, street address, city, state, and ZIP code THE AFFINITY GROUP, INC. RETIREMENT ACCOUNTS, INC. 717 17TH STREET SUITE 2600 DENVER, CO 80202 800-325-4352		OMB No. 1545-0119 <b>2001</b> Form 1099-R	
PAYER'S Federal identification number [REDACTED]		RECIPIENT'S identification number [REDACTED]	
RECIPIENT'S name, street address, city, state, and ZIP code MILLER, STANLEY T [REDACTED]		Distributions From Pensions, Annuities, Retirement or Profit-Sharing Plans, IRAs, Insurance Contracts, etc.	
Account number (optional) [REDACTED]		Copy C For Recipients Records This information is being furnished to the Internal Revenue Service.	
1 Gross distribution \$600,000.00		2a Taxable amount \$600,000.00	
2b Taxable amount not determined <input checked="" type="checkbox"/>		Total distribution <input type="checkbox"/>	
3 Capital gain (included in box 2a) \$0.00		4 Federal income tax withheld \$0.00	
5 Employee contributions or insurance premiums \$0.00		6 Net unrealized appreciation in employer's securities \$0.00	
7 Distribution code(s) 7 <input checked="" type="checkbox"/> IRA/SEP/SIMPLE		8 Other \$0.00 %	
9a Your percentage of total distribution %		9b Total employee contributions \$0.00	
10 State tax withheld \$0.00		11 State/Payer's state no. FL	
13 Local tax withheld \$0.00		14 Name of locality	
		15 Local distribution \$0.00	

Form 1099-R (keep for your records) Department of the Treasury-Internal Revenue Service

<input type="checkbox"/> CORRECTED (if checked)		BR8-RAI	
PAYER'S name, street address, city, state, and ZIP code THE AFFINITY GROUP, INC. RETIREMENT ACCOUNTS, INC. 717 17TH STREET SUITE 2600 DENVER, CO 80202 800-325-4352		OMB No. 1545-0119 <b>2001</b> Form 1099-R	
PAYER'S Federal identification number [REDACTED]		RECIPIENT'S identification number [REDACTED]	
RECIPIENT'S name, street address, city, state, and ZIP code MILLER, STANLEY T [REDACTED]		Distributions From Pensions, Annuities, Retirement or Profit-Sharing Plans, IRAs, Insurance Contracts, etc.	
Account number (optional) [REDACTED]		Copy B Report this income on your Federal tax return. If this form shows Federal income tax withheld in box 4, attach this copy to your return. This information is being furnished to the Internal Revenue Service.	
1 Gross distribution \$600,000.00		2a Taxable amount \$600,000.00	
2b Taxable amount not determined <input checked="" type="checkbox"/>		Total distribution <input type="checkbox"/>	
3 Capital gain (included in box 2a) \$0.00		4 Federal income tax withheld \$0.00	
5 Employee contributions or insurance premiums \$0.00		6 Net unrealized appreciation in employer's securities \$0.00	
7 Distribution code(s) 7 <input checked="" type="checkbox"/> IRA/SEP/SIMPLE		8 Other \$0.00 %	
9a Your percentage of total distribution %		9b Total employee contributions \$0.00	
10 State tax withheld \$0.00		11 State/Payer's state no. FL	
13 Local tax withheld \$0.00		14 Name of locality	
		15 Local distribution \$0.00	

Form 1099-R Department of the Treasury-Internal Revenue Service

<input type="checkbox"/> CORRECTED (if checked)		BR8-RAI	
PAYER'S name, street address, city, state, and ZIP code THE AFFINITY GROUP, INC. RETIREMENT ACCOUNTS, INC. 717 17TH STREET SUITE 2600 DENVER, CO 80202 800-325-4352		OMB No. 1545-0119 <b>2001</b> Form 1099-R	
PAYER'S Federal identification number [REDACTED]		RECIPIENT'S identification number [REDACTED]	
RECIPIENT'S name, street address, city, state, and ZIP code MILLER, STANLEY T [REDACTED]		Distributions From Pensions, Annuities, Retirement or Profit-Sharing Plans, IRAs, Insurance Contracts, etc.	
Account number (optional) [REDACTED]		Copy 2 File this copy with your state, city, or local income tax return, when required.	
1 Gross distribution \$600,000.00		2a Taxable amount \$600,000.00	
2b Taxable amount not determined <input checked="" type="checkbox"/>		Total distribution <input type="checkbox"/>	
3 Capital gain (included in box 2a) \$0.00		4 Federal income tax withheld \$0.00	
5 Employee contributions or insurance premiums \$0.00		6 Net unrealized appreciation in employer's securities \$0.00	
7 Distribution code(s) 7 <input checked="" type="checkbox"/> IRA/SEP/SIMPLE		8 Other \$0.00 %	
9a Your percentage of total distribution %		9b Total employee contributions \$0.00	
10 State tax withheld \$0.00		11 State/Payer's state no. FL	
13 Local tax withheld \$0.00		14 Name of locality	
		15 Local distribution \$0.00	

Form 1099-R Department of the Treasury-Internal Revenue Service



**RETIREMENT  
ACCOUNTS, INC.**

**Individual Retirement Account Statement  
October 1, 2002 - December 31, 2002**

Page 1 of 3

008113 0534097 0005 0025685 0011534 0122  
STANLEY T. MILLER

**REDACTED**

Participant Name: STANLEY T. MILLER

Retirement Account Number: [REDACTED]

**ACCOUNT SUMMARY**

**REPORTED ACCOUNT VALUE**

Current Period	
Brokerage Accounts	\$5,820,225.14
*Cash	\$50,011.92
<b>Total Account Value</b>	<b>\$5,870,237.06</b>
Prior Period	
<b>Total Account Value</b>	<b>\$5,903,609.56</b>

**CONTRIBUTION AND DISTRIBUTION SUMMARY**

<u>Rollovers</u>	
Current Tax Year	\$0.00
<u>Contributions</u>	
Current Tax Year (2002)	\$0.00
Prior Tax Year (2001)	\$0.00
<u>Employer Contributions</u>	
Received Current Year	\$0.00
<u>Roth Conversions</u>	
Current Tax Year	\$0.00
<u>Distributions</u>	
**Current Tax Year	\$200,000.00

\*Only cash on deposit with the Trustee is FDIC insured. No other investments are FDIC insured through the Trustee.

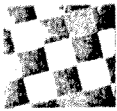
\*\* May include distributions that do not apply toward your required minimum distribution.

**IMPORTANT ACCOUNT INFORMATION**

Please be sure to review the reverse side of this statement for more important account information and retain this statement for your records. Report any discrepancies to us immediately.

As required by law, the fair market value reported on this statement will be furnished to the Internal Revenue Service on IRS Form 5498.

Our records indicate that you are 70 1/2 or older. According to IRS regulations, you are subject to required minimum distributions unless special exceptions apply. Please refer to the article in the January edition of RAI Client News for more information.



**RETIREMENT  
ACCOUNTS, INC.**

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## ACCOUNT INVESTMENTS

Investment Products: • Not FDIC Insured • No Bank Guarantee • May Lose Value

### BROKERAGE ACCOUNTS

Description/Account Number	Previous Reported Value	Current Reported Value
BERNARD L MADOFF BRKG ACCT VALUE ZR284	5,853,597.92	5,820,225.14

**Total Value of Brokerage Accounts \$5,820,225.14**

### CASH

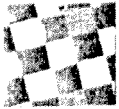
Description	Annual Percentage Yield Earned	Previous Reported Value	Current Reported Value
PEAK MONEY MARKET	0.35	50,011.64	50,011.92

**Total Value of Cash \$50,011.92**

**TOTAL ACCOUNT VALUE \$5,870,237.06**

## ACCOUNT TRANSACTIONS

Transaction Date	Transaction	Transaction Description	Transaction Shares	Transaction Amount
10/01/2002	WIRE FEE	POST TO SUSPENSE ACCOUNT 010000115156 1 TF		-7.50
10/01/2002	IRA DISTRIBUTION	MONTHLY DISTRIBUTION For Tax Year 2002		-50,000.00
10/22/2002	CASH ADJUSTMENT INCREASE			50,000.00
10/31/2002	INTEREST			5.44
11/01/2002	WIRE FEE	POST TO SUSPENSE ACCOUNT 010000115156 1 TF		-7.50
11/01/2002	IRA DISTRIBUTION	MONTHLY DISTRIBUTION For Tax Year 2002		50,000.00



**RETIREMENT  
ACCOUNTS, INC.**

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Page 3 of 3

Transaction Date	Transaction	Transaction Description	Transaction Shares	Transaction Amount
11/20/2002	CASH ADJUSTMENT INCREASE			50,000.00
11/29/2002	INTEREST			3.69
12/02/2002	IRA DISTRIBUTION	MONTHLY DISTRIBUTION For Tax Year 2002		50,000.00
12/16/2002	CASH ADJUSTMENT INCREASE			50,000.00
12/31/2002	INTEREST			6.15

CORRECTED (if checked)		OMB No. 1545-0119		Distributions From Pensions, Annuities, Retirement or Profit-Sharing Plans, IRAs, Insurance Contracts, etc.
PAYER'S name, street address, city, state, and ZIP code THE AFFINITY GROUP, INC RETIREMENT ACCOUNTS INC 717 17TH STREET SUITE 2600 DENVER, CO 80202		1 Gross distribution \$ 200,000.00	2002	
		2a Taxable amount \$ 200,000.00		
PAYER'S Federal identification number [REDACTED]		2b Taxable amount not determined <input checked="" type="checkbox"/> Total distribution <input type="checkbox"/>		Copy B Report this income on your Federal tax return. If this form shows Federal income tax withheld in box 4, attach this copy to your return.
RECIPIENT'S identification number [REDACTED]		3 Capital gain included in box 2a <input type="checkbox"/> 4 Federal income tax withheld \$		
RECIPIENT'S name, address, city, state, and ZIP code MILLER, STANLEY T [REDACTED]		5 Employee contributions or insurance premiums \$	6 Net unrealized appreciation in employer's securities \$	This information is being furnished to the Internal Revenue Service
		7 Distribution code 7 <input checked="" type="checkbox"/> 8 Other \$	9a Your percentage of total distribution % 9b Total employee contributions \$	
Account number (optional) [REDACTED]		10 State tax withheld \$	11 State/Payer's state no 12 State distribution \$	
		13 Local tax withheld \$	14 Name of locality 15 Local distribution \$	

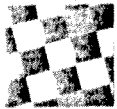
Form 1099-R Department of the Treasury Internal Revenue Service

CORRECTED (if checked)		OMB No. 1545-0119		Distributions From Pensions, Annuities, Retirement or Profit-Sharing Plans, IRAs, Insurance Contracts, etc.
PAYER'S name, street address, city, state, and ZIP code THE AFFINITY GROUP, INC RETIREMENT ACCOUNTS INC 717 17TH STREET SUITE 2600 DENVER, CO 80202		1 Gross distribution \$ 200,000.00	2002	
		2a Taxable amount \$ 200,000.00		
PAYER'S Federal identification number [REDACTED]		2b Taxable amount not determined <input checked="" type="checkbox"/> Total distribution <input type="checkbox"/>		Copy C For Recipient's Records
RECIPIENT'S identification number [REDACTED]		3 Capital gain included in box 2a <input type="checkbox"/> 4 Federal income tax withheld \$		
RECIPIENT'S name, address, city, state, and ZIP code MILLER, STANLEY T [REDACTED]		5 Employee contributions or insurance premiums \$	6 Net unrealized appreciation in employer's securities \$	This information is being furnished to the Internal Revenue Service
		7 Distribution code 7 <input checked="" type="checkbox"/> 8 Other \$	9a Your percentage of total distribution % 9b Total employee contributions \$	
Account number (optional) [REDACTED]		10 State tax withheld \$	11 State/Payer's state no 12 State distribution \$	
		13 Local tax withheld \$	14 Name of locality 15 Local distribution \$	

Form 1099-R (Keep for your records.) Department of the Treasury Internal Revenue Service

CORRECTED (if checked)		OMB No. 1545-0119		Distributions From Pensions, Annuities, Retirement or Profit-Sharing Plans, IRAs, Insurance Contracts, etc.
PAYER'S name, street address, city, state, and ZIP code THE AFFINITY GROUP, INC RETIREMENT ACCOUNTS INC 717 17TH STREET SUITE 2600 DENVER, CO 80202		1 Gross distribution \$ 200,000.00	2002	
		2a Taxable amount \$ 200,000.00		
PAYER'S Federal identification number [REDACTED]		2b Taxable amount not determined <input checked="" type="checkbox"/> Total distribution <input type="checkbox"/>		Copy 2 File this copy with your state, city, or local income tax return, when required.
RECIPIENT'S identification number [REDACTED]		3 Capital gain included in box 2a <input type="checkbox"/> 4 Federal income tax withheld \$		
RECIPIENT'S name, address, city, state, and ZIP code MILLER, STANLEY T [REDACTED]		5 Employee contributions or insurance premiums \$	6 Net unrealized appreciation in employer's securities \$	This information is being furnished to the Internal Revenue Service
		7 Distribution code 7 <input checked="" type="checkbox"/> 8 Other \$	9a Your percentage of total distribution % 9b Total employee contributions \$	
Account number (optional) [REDACTED]		10 State tax withheld \$	11 State/Payer's state no 12 State distribution \$	
		13 Local tax withheld \$	14 Name of locality 15 Local distribution \$	

Form 1099-R Department of the Treasury Internal Revenue Service



**RETIREMENT  
ACCOUNTS, INC.**

**Individual Retirement Account Statement  
October 1, 2003 - December 31, 2003**

Page 1 of 1

008113 0825780 0005 0038500 0017343 0121  
STANLEY T. MILLER



**REDACTED  
REDACTED**

Participant Name: STANLEY T. MILLER

Retirement Account Number: [REDACTED]

**ACCOUNT SUMMARY**

**REPORTED ACCOUNT VALUE**

Current Period	
Brokerage Accounts	\$6,163,835.92
*Cash	\$50,002.68
<b>Total Account Value</b>	<b>\$6,213,838.60</b>
Prior Period	
<b>Total Account Value</b>	<b>\$6,273,102.52</b>

**CONTRIBUTION AND DISTRIBUTION SUMMARY**

<u>Rollovers</u>	
Current Tax Year	\$0.00
<u>Contributions</u>	
Current Tax Year (2003)	\$0.00
Prior Tax Year (2002)	\$0.00
<u>Employer Contributions</u>	
Received Current Year	\$0.00
<u>Roth Conversions</u>	
Current Tax Year	\$0.00
<u>Distributions</u>	
**Current Tax Year	\$250,000.00

\*Only cash on deposit with the Trustee is FDIC insured. No other investments are FDIC insured through the Trustee.

\*\* May include distributions that do not apply toward your required minimum distribution.

**IMPORTANT ACCOUNT INFORMATION**

Please be sure to review the reverse side of this statement for more important account information and retain this statement for your records. Report any discrepancies to us immediately.

As required by law, the fair market value reported on this statement will be furnished to the Internal Revenue Service on IRS Form 5498.

Our records indicate that you are age 70 1/2 or older or that you will attain age 70 1/2 in 2004. According to IRS regulations, you are subject to required minimum distributions unless special exceptions apply. Please refer to the article in the January edition of RAI Client News for more information.



**RETIREMENT  
ACCOUNTS, INC.**

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Page 2 of 3

## ACCOUNT INVESTMENTS

Investment Products: • Not FDIC Insured • No Bank Guarantee • May Lose Value

### BROKERAGE ACCOUNTS

Description/Account Number	Previous Reported Value	Current Reported Value
BERNARD L MADOFF BRKG ACCT VALUE ZR284	6,223,095.04	6,163,835.92

**Total Value of Brokerage Accounts \$6,163,835.92**

### CASH

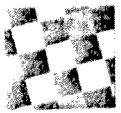
Description	Annual Percentage Yield Earned	Previous Reported Value	Current Reported Value
PEAK MONEY MARKET	0.25	50,007.48	50,002.68

**Total Value of Cash \$50,002.68**

**TOTAL ACCOUNT VALUE \$6,213,838.60**

## ACCOUNT TRANSACTIONS

Transaction Date	Transaction	Transaction Description	Transaction Shares	Transaction Amount
10/01/2003	IRA DISTRIBUTION	MONTHLY DISTRIBUTION For Tax Year 2003		-50,000.00
10/20/2003	CASH ADJUSTMENT INCREASE			50,000.00
10/31/2003	INTEREST			3.40
11/03/2003	WIRE FEE	POST TO SUSPENSE ACCOUNT 010000115156 11 F		-7.50
11/03/2003	IRA DISTRIBUTION	MONTHLY DISTRIBUTION For Tax Year 2003		-50,000.00
11/17/2003	CASH ADJUSTMENT INCREASE	BERNARD L MADOFF CK 149082		50,000.00
11/28/2003	INTEREST			4.76

**RETIREMENT  
ACCOUNTS, INC.**

Page 2 of 4

Transaction Date	Transaction	Transaction Description	Transaction Shares	Transaction Amount
12/01/2003	WIRE FEE	POST TO SUSPENSE ACCOUNT 010000115156 1 T F		-7.50
12/01/2003	IRA DISTRIBUTION	MONTHLY DISTRIBUTION For Tax Year 2003		-50,000.00
12/23/2003	CASH ADJUSTMENT INCREASE			50,000.00
12/31/2003	INTEREST			2.04

PAYER'S name, street address, city, state, and ZIP code		CORRECTED (if checked)		OMB No. 1545-0119 <b>2003</b> Form 1099-R		Distributions From Pensions, Annuities, Retirement or Profit-Sharing Plans, IRAs, Insurance Contracts, etc.	
PAYER'S Federal identification number		1 Gross distribution		2a Taxable amount		2b Taxable amount not determined	
RECIPIENT'S identification number		3 Capital gain included in box 2d		4 Federal income tax withheld		5	
RECIPIENT'S name, street address, city, state, and ZIP code		5 Employee contributions or insurance premiums		6 Net unrealized appreciation in employer's securities		7 Distribution codes	
PAYER, STANLEY J		7 Distribution codes		8 Other		9a Total percentage of total distribution	
REDACTED		9a Total percentage of total distribution		9b Total employee contributions		10 State tax withheld	
Account number (optional)		10 State tax withheld		11 State Payer's state no		12 State distribution	
REDACTED		11 State Payer's state no		12 State distribution		13 Local tax withheld	
		13 Local tax withheld		14 Name of locality		15 Local distribution	

Form 1099-R Department of the Treasury Internal Revenue Service

PAYER'S name, street address, city, state, and ZIP code		CORRECTED (if checked)		OMB No. 1545-0119 <b>2003</b> Form 1099-R		Distributions From Pensions, Annuities, Retirement or Profit-Sharing Plans, IRAs, Insurance Contracts, etc.	
PAYER'S Federal identification number		1 Gross distribution		2a Taxable amount		2b Taxable amount not determined	
RECIPIENT'S identification number		3 Capital gain included in box 2d		4 Federal income tax withheld		5	
RECIPIENT'S name, street address, city, state, and ZIP code		5 Employee contributions or insurance premiums		6 Net unrealized appreciation in employer's securities		7 Distribution codes	
PAYER, STANLEY J		7 Distribution codes		8 Other		9a Total percentage of total distribution	
REDACTED		9a Total percentage of total distribution		9b Total employee contributions		10 State tax withheld	
Account number (optional)		10 State tax withheld		11 State Payer's state no		12 State distribution	
REDACTED		11 State Payer's state no		12 State distribution		13 Local tax withheld	
		13 Local tax withheld		14 Name of locality		15 Local distribution	

Form 1099-R (Keep for your records) Department of the Treasury Internal Revenue Service

PAYER'S name, street address, city, state, and ZIP code		CORRECTED (if checked)		OMB No. 1545-0119 <b>2003</b> Form 1099-R		Distributions From Pensions, Annuities, Retirement or Profit-Sharing Plans, IRAs, Insurance Contracts, etc.	
PAYER'S Federal identification number		1 Gross distribution		2a Taxable amount		2b Taxable amount not determined	
RECIPIENT'S identification number		3 Capital gain included in box 2d		4 Federal income tax withheld		5	
RECIPIENT'S name, street address, city, state, and ZIP code		5 Employee contributions or insurance premiums		6 Net unrealized appreciation in employer's securities		7 Distribution codes	
PAYER, STANLEY J		7 Distribution codes		8 Other		9a Total percentage of total distribution	
REDACTED		9a Total percentage of total distribution		9b Total employee contributions		10 State tax withheld	
Account number (optional)		10 State tax withheld		11 State Payer's state no		12 State distribution	
REDACTED		11 State Payer's state no		12 State distribution		13 Local tax withheld	
		13 Local tax withheld		14 Name of locality		15 Local distribution	

Form 1099-R Department of the Treasury Internal Revenue Service



**RETIREMENT  
ACCOUNTS, INC.**

**Individual Retirement Account Statement  
October 1, 2004 - December 31, 2004**

Page 1 of 2

008113 4110719 0007 0028090 0013002 0121  
STANLEY T. MILLER

**REDACTED**

Participant Name: STANLEY T. MILLER  
Retirement Account Number: [REDACTED]

**ACCOUNT SUMMARY**

**REPORTED ACCOUNT VALUE**

<u>Current Period</u>	
Brokerage Accounts	\$6,344,039.25
Cash	\$50,127.09
<b>Total Account Value</b>	<b>\$6,394,166.34</b>
<u>Prior Period</u>	
<b>Total Account Value</b>	<b>\$6,265,642.99</b>

**CONTRIBUTION AND DISTRIBUTION SUMMARY**

<u>Rollovers</u>	
Current Tax Year	\$0.00
<u>Contributions</u>	
Current Tax Year (2004)	\$0.00
Prior Tax Year (2003)	\$0.00
<u>Employer Contributions</u>	
Received Current Year	\$0.00
<u>Roth Conversions</u>	
Current Tax Year	\$0.00
<u>Distributions</u>	
**Current Tax Year	\$449,977.26

\*Only cash on deposit with the Trustee is FDIC insured. No other investments are FDIC insured through the Trustee.

\*\* May include distributions that do not apply toward your required minimum distribution.

**IMPORTANT ACCOUNT INFORMATION**

Please be sure to review the reverse side of this statement for more important account information and retain this statement for your records. Report any discrepancies to us immediately.

As required by law, the fair market value reported on this statement will be furnished to the Internal Revenue Service on IRS Form 5498, if the account is an IRA, SEP, Simple, or Roth account.

Our records indicate that you are age 70½ or older or that you will attain age 70½ in 2005. According to IRS regulations, you are subject to required minimum distributions, unless special exceptions apply. Please refer to the article in the January edition of RAI Client News for more information.

Please read the enclosed newsletter for important fee information.



**RETIREMENT  
ACCOUNTS, INC.**

031038030433  
Page 2 of 2

## ACCOUNT INVESTMENTS

Investment Products: • Not FDIC Insured • No Bank Guarantee • May Lose Value

### BROKERAGE ACCOUNTS

<u>Description/AccountNumber</u>	<u>Previous Reported Value</u>	<u>Current Reported Value</u>
BERNARD L MADOFF BRKG ACCT VALUE ZR284	6,215,554.22	6,344,039.25

Total Value of Brokerage Accounts \$6,344,039.25

### CASH

<u>Description</u>	<u>Annual Percentage Yield Earned</u>	<u>Previous Reported Value</u>	<u>Current Reported Value</u>
PEAK MONEY MARKET	0.28	50,088.77	50,127.09

Total Value of Cash \$50,127.09

TOTAL ACCOUNT VALUE \$6,394,166.34

## ACCOUNT TRANSACTIONS

<u>Transaction Date</u>	<u>Transaction</u>	<u>Transaction Description</u>	<u>Transaction Shares</u>	<u>Transaction Amount</u>
10/29/2004	INTEREST			10.54
11/18/2004	CASH ADJUSTMENT INCREASE			50,000.00
11/29/2004	TRANSFER OF CASH OUT	RETURN FUNDS TO MADOFF		-50,000.00
11/30/2004	INTEREST			12.90
12/31/2004	INTEREST			14.88

PAYER'S name, street address, city, state, and ZIP code		CORRECTED (if checked)		OMB No. 1545-0119		Distributions From Pensions, Annuities, Retirement or Profit-Sharing Plans, IRAs, Insurance Contracts, etc.
PAYER AFFINITY INC 1717 17TH STREET SUITE 2600 DENVER, CO 80202		1 Gross distribution \$ 449,977.76	2a Taxable amount \$ 449,977.76	2004 Form 1099-R		
PAYER'S federal identification number		2b Taxable amount not determined <input checked="" type="checkbox"/>		Total distribution <input type="checkbox"/>		Copy B Report this income on your federal tax return. If this form shows federal income tax withheld in box 4, attach this copy to your return.
RECIPIENT'S identification number		3 Capital gain (included in box 2a)		4 Federal income tax withheld		
RECIPIENT'S name, street address, city, state, and ZIP code		5 Employee contributions or insurance premiums		6 Net unrealized appreciation in employer's securities		This information is being furnished to the Internal Revenue Service.
STANLEY T MILLER		7 Distribution code(s) IRA/SEP/SIMPLE <input checked="" type="checkbox"/>		8 Other		
9a Your percentage of total distribution		9b Total employee contributions				
Account number (optional)		10 State tax withheld		11 State/Payer's state no.		12 State distribution
		13 Local tax withheld		14 Name of locality		15 Local distribution

Form 1099-R Department of the Treasury Internal Revenue Service

PAYER'S name, street address, city, state, and ZIP code		CORRECTED (if checked)		OMB No. 1545-0119		Distributions From Pensions, Annuities, Retirement or Profit-Sharing Plans, IRAs, Insurance Contracts, etc.
PAYER AFFINITY INC 717 17TH STREET SUITE 2600 DENVER, CO 80202		1 Gross distribution \$ 449,977.76	2a Taxable amount \$ 449,977.76	2004 Form 1099-R		
PAYER'S federal identification number		2b Taxable amount not determined <input checked="" type="checkbox"/>		Total distribution <input type="checkbox"/>		Copy C For Recipient's Records
RECIPIENT'S identification number		3 Capital gain (included in box 2a)		4 Federal income tax withheld		
RECIPIENT'S name, street address, city, state, and ZIP code		5 Employee contributions or insurance premiums		6 Net unrealized appreciation in employer's securities		This information is being furnished to the Internal Revenue Service.
STANLEY T MILLER		7 Distribution code(s) IRA/SEP/SIMPLE <input checked="" type="checkbox"/>		8 Other		
9a Your percentage of total distribution		9b Total employee contributions				
Account number (optional)		10 State tax withheld		11 State/Payer's state no.		12 State distribution
		13 Local tax withheld		14 Name of locality		15 Local distribution

Form 1099-R (Keep for your records.) Department of the Treasury Internal Revenue Service

PAYER'S name, street address, city, state, and ZIP code		CORRECTED (if checked)		OMB No. 1545-0119		Distributions From Pensions, Annuities, Retirement or Profit-Sharing Plans, IRAs, Insurance Contracts, etc.
PAYER AFFINITY INC 717 17TH STREET SUITE 2600 DENVER, CO 80202		1 Gross distribution \$ 449,977.76	2a Taxable amount \$ 449,977.76	2004 Form 1099-R		
PAYER'S federal identification number		2b Taxable amount not determined <input checked="" type="checkbox"/>		Total distribution <input type="checkbox"/>		Copy 2 File this copy with your state, city, or local income tax return, when required.
RECIPIENT'S identification number		3 Capital gain (included in box 2a)		4 Federal income tax withheld		
RECIPIENT'S name, street address, city, state, and ZIP code		5 Employee contributions or insurance premiums		6 Net unrealized appreciation in employer's securities		This information is being furnished to the Internal Revenue Service.
STANLEY T MILLER		7 Distribution code(s) IRA/SEP/SIMPLE <input checked="" type="checkbox"/>		8 Other		
9a Your percentage of total distribution		9b Total employee contributions				
Account number (optional)		10 State tax withheld		11 State/Payer's state no.		12 State distribution
		13 Local tax withheld		14 Name of locality		15 Local distribution

Form 1099-R Department of the Treasury Internal Revenue Service



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## Corrected Statement

Traditional IRA  
October 1, 2005 - December 31, 2005

Page 1 of 3

\*00472 4388661 001 008145  
STANLEY T MILLER

REDACTED

Account Name: STANLEY T MILLER  
Account Number: [REDACTED]

Contact: IA SERVICES TEAM H

## ACCOUNT SUMMARY

### REPORTED ACCOUNT VALUE

<u>Current Period</u>	
Brokerage Accounts	\$6,332,564.10
*Cash	\$141.05
<b>Total Account Value</b>	<b>\$6,332,705.15</b>
<u>Prior Period</u>	
Total Account Value	\$6,132,651.32

### CONTRIBUTION AND DISTRIBUTION SUMMARY

<u>Rollovers</u>	
Current Tax Year	\$0.00
<u>Contributions</u>	
Current Tax Year (2005)	\$0.00
Prior Tax Year (2004)	\$0.00
<u>Employer Contributions</u>	
Received Current Year	\$0.00
<u>Distributions</u>	
**Current Tax Year (2005)	\$350,000.00
Current Tax Year Withholding (2005)	\$0.00
**Prior Tax Year (2004)	\$449,977.26
Prior Tax Year Withholding (2004)	\$0.00

\*Only cash on deposit with the Trustee is FDIC insured. No other investments are FDIC insured through the Trustee.

\*\* May include distributions that do not apply toward your required minimum distribution.

## IMPORTANT ACCOUNT INFORMATION

Please review the last page of your statement for more important account information, and retain this statement for your records. Report any discrepancies to us immediately.

As required by law, the fair market value reported on this statement will be furnished to the Internal Revenue Service on IRS Form 5498, if the account is an IRA, SEP, Simple, or Roth account.

Our records indicate that you are age 70½ or older or that you will attain age 70½ in 2006. According to IRS regulations, you are subject to required minimum distributions, unless special exceptions apply. Please refer to the article in the January edition of "Spotlight" for more information.

717 17th Street Ste. 1700, Denver, CO 80202-3331 PO Box 173859 Denver, CO 80217-3859 800-962-4238

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## ACCOUNT INVESTMENTS

Investment Products: • Not FDIC Insured • No Bank Guarantee • May Lose Value

### BROKERAGE ACCOUNTS

<u>Description/Account Number</u>	<u>Previous Reported Value</u>	<u>Current Reported Value</u>
BERNARD L MADOFF BRKG ACCT VALUE ZR284	6,132,510.27	6,332,564.10
<b>Total Value of Brokerage Accounts</b>		<b>\$6,332,564.10</b>

### CASH

<u>Description</u>	<u>Annual Percentage Yield Earned</u>	<u>Previous Reported Value</u>	<u>Current Reported Value</u>
FISERV TRUST MONEY MARKET ACCOUNT	N/A	141.05	141.05
<b>Total Value of Cash</b>			<b>\$141.05</b>
<b>TOTAL ACCOUNT VALUE</b>			<b>\$6,332,705.15</b>

## ACCOUNT TRANSACTIONS

<u>Transaction Date</u>	<u>Transaction</u>	<u>Transaction Description</u>	<u>Transaction Shares</u>	<u>Cash</u>
				\$141.05
	<b>Beginning Cash Balance (as of 10/01/2005)</b>			
12/30/2005	VALUATION ADJUSTMENT	BERNARD L MADOFF BRKG ACCT VALUE	200.053 8300	
	<b>Ending Cash Balance (as of 12/31/2005)</b>			<b>\$141.05</b>

PAYER'S name, street address, city, state, and ZIP code		CORRECTED (if checked)		OMB No. 1545-0119		Distributions From Pensions, Annuities, Retirement or Profit-Sharing Plans, IRAs, Insurance Contracts, etc.	
FISERV AFFINITY INC 717 17TH STREET, SUITE 2600 DENVER, CO 80202		1 Gross distribution \$ 350,000.00		2005		<b>Copy B</b> Report this income on your Federal tax return. If this form shows Federal income tax withheld in box 4, attach this copy to your return.	
		2a Taxable amount \$ 350,000.00		Form 1099-R			
		2b Taxable amount not determined <input checked="" type="checkbox"/>		Total distribution <input type="checkbox"/>			
PAYER'S Federal identification number	RECIPIENT'S identification number	3 Capital gain included in box 2a		4 Federal income tax withheld		This information is being furnished to the Internal Revenue Service.	
		5 Employee contributions or insurance premiums		6 Net unrealized appreciation on employer's securities			
RECIPIENT'S name, street address, city, state, and ZIP code STANLEY F MILLER		7 Distribution code(s) 7		8 Other			
		9a Your percentage of total distribution %		9b Total employer contributions \$			
Account number (if instructed)		10 State tax withheld		11 State/Payer's state no.		12 State distribution	
		13 Local tax withheld		14 Name of locality		15 Local distribution	

Form 1099-R

Department of the Treasury - Internal Revenue Service

PAYER'S name, street address, city, state, and ZIP code		CORRECTED (if checked)		OMB No. 1545-0119		Distributions From Pensions, Annuities, Retirement or Profit-Sharing Plans, IRAs, Insurance Contracts, etc.	
FISERV AFFINITY INC 717 17TH STREET, SUITE 2600 DENVER, CO 80202		1 Gross distribution \$ 350,000.00		2005		<b>Copy C</b> For Recipient's Records	
		2a Taxable amount \$ 350,000.00		Form 1099-R			
		2b Taxable amount not determined <input checked="" type="checkbox"/>		Total distribution <input type="checkbox"/>			
PAYER'S Federal identification number	RECIPIENT'S identification number	3 Capital gain included in box 2a		4 Federal income tax withheld		This information is being furnished to the Internal Revenue Service.	
		5 Employee contributions or insurance premiums		6 Net unrealized appreciation on employer's securities			
RECIPIENT'S name, street address, city, state, and ZIP code STANLEY F MILLER		7 Distribution code(s) 7		8 Other			
		9a Your percentage of total distribution %		9b Total employer contributions \$			
Account number (if instructed)		10 State tax withheld		11 State/Payer's state no.		12 State distribution	
		13 Local tax withheld		14 Name of locality		15 Local distribution	

Form 1099-R

(Keep for your records.)

Department of the Treasury - Internal Revenue Service

PAYER'S name, street address, city, state, and ZIP code		CORRECTED (if checked)		OMB No. 1545-0119		Distributions From Pensions, Annuities, Retirement or Profit-Sharing Plans, IRAs, Insurance Contracts, etc.	
FISERV AFFINITY INC 717 17TH STREET, SUITE 2600 DENVER, CO 80202		1 Gross distribution \$ 350,000.00		2005		<b>Copy 2</b> File this copy with your state, city, or local income tax return, when required.	
		2a Taxable amount \$ 350,000.00		Form 1099-R			
		2b Taxable amount not determined <input checked="" type="checkbox"/>		Total distribution <input type="checkbox"/>			
PAYER'S Federal identification number	RECIPIENT'S identification number	3 Capital gain included in box 2a		4 Federal income tax withheld		This information is being furnished to the Internal Revenue Service.	
		5 Employee contributions or insurance premiums		6 Net unrealized appreciation on employer's securities			
RECIPIENT'S name, street address, city, state, and ZIP code STANLEY F MILLER		7 Distribution code(s) 7		8 Other			
		9a Your percentage of total distribution %		9b Total employer contributions \$			
Account number (if instructed)		10 State tax withheld		11 State/Payer's state no.		12 State distribution	
		13 Local tax withheld		14 Name of locality		15 Local distribution	

Form 1099-R  
01/09/02, 01/09/05

Department of the Treasury - Internal Revenue Service



**Traditional IRA**  
**October 1, 2006 - December 31, 2006**

07703 4762914 001 008145  
 STANLEY T. MILLER

**REDACTED**  
**REDACTED**

**Account Name:** STANLEY T. MILLER  
**Account Number:** [REDACTED]

**Contact:** IA SERVICES TEAM A

**ACCOUNT SUMMARY**

**REPORTED ACCOUNT VALUE**

<u>Current Period</u>	
Brokerage Accounts	\$7,137,863.81
*Cash	\$183.05
<b>Total Account Value</b>	<b>\$7,138,046.86</b>
<u>Prior Period</u>	
Total Account Value	\$6,953,908.60

**CONTRIBUTION AND DISTRIBUTION SUMMARY**

<u>Rollovers</u>	
Current Tax Year	\$0.00
<u>Contributions</u>	
Current Tax Year (2006)	\$0.00
Prior Tax Year (2005)	\$0.00
<u>Employer Contributions</u>	
Received Current Year	\$0.00
<u>Distributions</u>	
**Current Tax Year (2006)	\$50,000.00
Current Tax Year Withholding (2006)	\$0.00
**Prior Tax Year (2005)	\$350,000.00
Prior Tax Year Withholding (2005)	\$0.00

\* Fiserv Trust's FDIC insurance coverage applies only to deposits held in the Fiserv Trust Money Market, and to certificates of deposit issued by Fiserv Trust. No other investments are FDIC insured through Fiserv Trust.

\*\* May include distributions that do not apply toward your required minimum distribution.

**IMPORTANT ACCOUNT INFORMATION**

Please review the last page of your statement for more important account information and retain this statement for your records. Report any discrepancies to us immediately.

As required by law, the fair market value reported on this statement will be furnished to the Internal Revenue Service on IRS Form 5498, if the account is an IRA, SEP, Simple, or Roth account.

Our records indicate that you are age 70½ or older or that you will attain age 70½ in 2007. According to IRS regulations, you are subject to required minimum distributions unless special exceptions apply. Please refer to the article in the January edition of 'Spotlight' for more information.

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## ACCOUNT INVESTMENTS

Investment Products: • Not FDIC Insured • No Bank Guarantee • May Lose Value

### BROKERAGE ACCOUNTS

<u>Description/Account Number</u>	<u>Previous Reported Value</u>	<u>Current Reported Value</u>
BERNARD L MADOFF BRKG ACCT VALUE ZR284	6,953,725.55	7,137,863.81
<b>Total Value of Brokerage Accounts</b>		<b>\$7,137,863.81</b>

### CASH

<u>Description</u>	<u>Annual Percentage Yield Earned</u>	<u>Previous Reported Value</u>	<u>Current Reported Value</u>
FISERV TRUST MONEY MARKET ACCOUNT	N/A	183.05	183.05
<b>Total Value of Cash</b>			<b>\$183.05</b>
<b>TOTAL ACCOUNT VALUE</b>			<b>\$7,138,046.86</b>

## ACCOUNT TRANSACTIONS

<u>Transaction Date</u>	<u>Transaction</u>	<u>Transaction Description</u>	<u>Transaction Shares</u>	<u>Cash</u>
Beginning Cash Balance (as of 10/01/2006)				\$183.05
12/29/2006	VALUATION ADJUSTMENT	BERNARD L MADOFF BRKG ACCT VALUE	184,138.2600	
Ending Cash Balance (as of 12/31/2006)				<b>\$183.05</b>

CORRECTED (if checked)		OMB No. 1545-0119		Distributions From Pensions, Annuities, Retirement or Profit Sharing Plans, IRAs, Insurance Contracts, etc.	
PAYER'S name, street address, city, state, and ZIP code FISERV AFFINITY INC 717 17TH STREET SUITE 2600 DENVER, CO 80202		1 Gross distribution \$ 50,000.00	2006 Form 1099-R	Copy B Report this income on your Federal tax return. If this form shows Federal income tax withheld in box 4, attach this copy to your return.	
		2a Taxable amount \$ 50,000.00			
		2b Taxable amount not determined <input checked="" type="checkbox"/>	Total distribution <input type="checkbox"/>		This information is being furnished to the Internal Revenue Service.
PAYER'S federal identification number	RECIPIENT'S identification number	3 Capital gain included in box 2a \$	4 Federal income tax withheld \$		
RECIPIENT'S name, street address (including apt. no.), city, state, and ZIP code STANLEY T MILLER REDACTED REDACTED		5 Employee contributions (Designated Roth contributions or insurance premiums) \$	6 Net unrealized appreciation in employer's securities \$		
		7 Distribution codes (1-7) <input checked="" type="checkbox"/> 7 <input type="checkbox"/> 8 Other <input type="checkbox"/>	9a Your percentage of total distribution % 9b Total employee contributions \$		
For year of design. Roth contrib.		10 State tax withheld \$	11 State/Payer's state no. FL /	12 State distribution \$	
Account number (see instructions)		13 Local tax withheld \$	14 Name of locality	15 Local distribution \$	

Form 1099-R Department of the Treasury Internal Revenue Service

CORRECTED (if checked)		OMB No. 1545-0119		Distributions From Pensions, Annuities, Retirement or Profit Sharing Plans, IRAs, Insurance Contracts, etc.	
PAYER'S name, street address, city, state, and ZIP code FISERV AFFINITY INC 717 17TH STREET SUITE 2600 DENVER, CO 80202		1 Gross distribution \$ 50,000.00	2006 Form 1099-R	Copy C For Recipient's Records	
		2a Taxable amount \$ 50,000.00			
		2b Taxable amount not determined <input checked="" type="checkbox"/>	Total distribution <input type="checkbox"/>		This information is being furnished to the Internal Revenue Service.
PAYER'S federal identification number	RECIPIENT'S identification number	3 Capital gain included in box 2a \$	4 Federal income tax withheld \$		
RECIPIENT'S name, street address (including apt. no.), city, state, and ZIP code STANLEY T MILLER REDACTED REDACTED		5 Employee contributions (Designated Roth contributions or insurance premiums) \$	6 Net unrealized appreciation in employer's securities \$		
		7 Distribution codes (1-7) <input checked="" type="checkbox"/> 7 <input type="checkbox"/> 8 Other <input type="checkbox"/>	9a Your percentage of total distribution % 9b Total employee contributions \$		
For year of design. Roth contrib.		10 State tax withheld \$	11 State/Payer's state no. FL /	12 State distribution \$	
Account number (see instructions)		13 Local tax withheld \$	14 Name of locality	15 Local distribution \$	

Form 1099-R (Keep for your records.) Department of the Treasury Internal Revenue Service

CORRECTED (if checked)		OMB No. 1545-0119		Distributions From Pensions, Annuities, Retirement or Profit Sharing Plans, IRAs, Insurance Contracts, etc.	
PAYER'S name, street address, city, state, and ZIP code FISERV AFFINITY INC 717 17TH STREET SUITE 2600 DENVER, CO 80202		1 Gross distribution \$ 50,000.00	2006 Form 1099-R	Copy 2 File this copy with your state, city, or local income tax return, when required.	
		2a Taxable amount \$ 50,000.00			
		2b Taxable amount not determined <input checked="" type="checkbox"/>	Total distribution <input type="checkbox"/>		This information is being furnished to the Internal Revenue Service.
PAYER'S federal identification number	RECIPIENT'S identification number	3 Capital gain included in box 2a \$	4 Federal income tax withheld \$		
RECIPIENT'S name, street address (including apt. no.), city, state, and ZIP code STANLEY T MILLER REDACTED REDACTED		5 Employee contributions (Designated Roth contributions or insurance premiums) \$	6 Net unrealized appreciation in employer's securities \$		
		7 Distribution codes (1-7) <input checked="" type="checkbox"/> 7 <input type="checkbox"/> 8 Other <input type="checkbox"/>	9a Your percentage of total distribution % 9b Total employee contributions \$		
For year of design. Roth contrib.		10 State tax withheld \$	11 State/Payer's state no. FL /	12 State distribution \$	
Account number (see instructions)		13 Local tax withheld \$	14 Name of locality	15 Local distribution \$	

Form 1099-R 4-000 Department of the Treasury Internal Revenue Service



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Traditional IRA  
October 1, 2007 - December 31, 2007

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05809 5153983 001 008145  
STANLEY T. MILLER

REDACTED  
REDACTED

Account Name: STANLEY T. MILLER  
Account Number: [REDACTED]

Contact: CLIENT CONNECTION

ACCOUNT SUMMARY

REPORTED ACCOUNT VALUE

<u>Current Period</u>	
Brokerage Accounts	\$6,708,745.42
*Cash	\$60,371.19
Non-Standard Assets	\$1,074,909.71
<b>Total Account Value</b>	<b>\$7,844,026.32</b>
<u>Prior Period</u>	
Total Account Value	\$7,656,173.65

CONTRIBUTION AND DISTRIBUTION SUMMARY

<u>Rollovers</u>	
Current Tax Year	\$0.00
<u>Contributions</u>	
Current Tax Year (2007)	\$0.00
Prior Tax Year (2006)	\$0.00
<u>Employer Contributions</u>	
Current Tax Year (2007)	\$0.00
Prior Tax Year (2006)	\$0.00
<u>Distributions</u>	
**Current Tax Year (2007)	\$100,000.00
Current Tax Year Withholding (2007)	\$0.00
**Prior Tax Year (2006)	\$50,000.00
Prior Tax Year Withholding (2006)	\$0.00

\* Fiserv Trust's FDIC insurance coverage applies only to deposits held in the Fiserv Trust Money Market, and to certificates of deposit issued by Fiserv Trust. No other investments are FDIC insured through Fiserv Trust.

\*\* May include distributions that do not apply toward your required minimum distribution.

IMPORTANT ACCOUNT INFORMATION

Please review the last page of your statement for more important account information and retain this statement for your records. Report any discrepancies to us immediately.

As required by law, the fair market value reported on this statement will be furnished to the Internal Revenue Service on IRS Form 5498, if the account is an IRA, SEP, Simple, or Roth account.

Our records indicate that you are 70½ or older. According to IRS regulations, you are subject to required minimum distributions (RMDs) unless special exceptions apply. You must take a distribution of the required amount by December 31, 2008. We will report to the IRS that you are subject to RMDs. If you would like us to calculate the amount of your RMD or if you have questions, please contact us.

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## ACCOUNT INVESTMENTS

Investment Products: • Not FDIC Insured • No Bank Guarantee • May Lose Value

### BROKERAGE ACCOUNTS

<u>Description/Account Number</u>	<u>Previous Reported Value</u>	<u>Current Reported Value</u>
BERNARD L MADOFF BRKG ACCT VALUE ZR284	6,594,904.82	6,708,745.42
<b>Total Value of Brokerage Accounts</b>		<b>\$6,708,745.42</b>

### CASH

<u>Description</u>	<u>Annual Percentage Yield Earned</u>	<u>Previous Reported Value</u>	<u>Current Reported Value</u>
FISERV TRUST MONEY MARKET ACCOUNT	0.34%	164.37	60,371.19
<b>Total Value of Cash</b>			<b>\$60,371.19</b>

### NON-STANDARD ASSETS

<u>Description</u>	<u>Shares/Units</u>	<u>Price Per Share/Unit</u>	<u>Pricing Source</u>	<u>Previous Reported Value</u>	<u>Current Reported Value</u>
AUSTIN CAPITAL SAFE HARBOR OFFSHORE FD A	68.2290	15,754.4403	33	1,061,104.46	1,074,909.71
<b>Total Value of Non-Standard Assets</b>					<b>\$1,074,909.71</b>

**TOTAL ACCOUNT VALUE \$7,844,026.32**

## ACCOUNT TRANSACTIONS

<u>Transaction Date</u>	<u>Transaction</u>	<u>Transaction Description</u>	<u>Transaction Shares</u>	<u>Cash</u>
				\$164.37
	Beginning Cash Balance (as of 10/01/2007)			
12/18/2007	SHARES SOLD	BERNARD L MADOFF BRKG ACCT VALUE (As of 12/17/2007)	-60,000.0000	
12/18/2007	SALE SETTLEMENT	BERNARD L MADOFF BRKG ACCT VALUE (As of 12/17/2007)		60,000.00

 Fidelity  
Trust  
Company

031038030438

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<u>Transaction Date</u>	<u>Transaction</u>	<u>Transaction Description</u>	<u>Transaction Shares</u>	<u>Cash</u>
12/20/2007	FEE REIMBURSEMENT			200.00
12/31/2007	INTEREST			6.82
12/31/2007	VALUATION ADJUSTMENT	BERNARD L MADOFF BRKG ACCT VALUE	173,840.6000	
<b>Ending Cash Balance</b> (as of 12/31/2007)				<b>\$60,371.19</b>

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Fidelity Trust Company. Member FDIC

05809 5153983 011919 018659 00002.00002

CORRECTED (if checked)		OMB No. 1545-0119		Distributions From Pensions, Annuities, Retirement or Profit-Sharing Plans, IRAs, Insurance Contracts, etc.	
PAYER'S name, street address, city, state, and ZIP code HSERV AFFINITY INC 717 17TH STREET SUITE 2600 DENVER, CO 80202		1 Gross distribution \$ 100,000.00	2007 Form 1099-R	Copy B Report this income on your Federal tax return if this form shows federal income tax withheld in box 4, attach this copy to your return.	
		2a Taxable amount \$ 100,000.00			
		2b Taxable amount not determined <input checked="" type="checkbox"/>	Total distribution <input type="checkbox"/>		
PAYER'S federal identification number	RECIPIENT'S identification number	3 Capital gain (included in box 2a)	4 Federal income tax withheld		
		\$	\$		
RECIPIENT'S name, street address (including apt. no.), city, state, and ZIP code STANLEY T. MILLER REDACTED		5 Employee contributions (excluding Roth contributions) or insurance premiums	6 Net unrealized appreciation in employer's securities		
		\$	\$		
		7 Distribution code (a) <input checked="" type="checkbox"/> (b) <input type="checkbox"/>	8 Other		
		9a Your percentage of total distribution %	9b Total employee contributions \$		
		10 State tax withheld \$	11 State/Payer's state no. IL /	12 State distribution \$	
Account number (see instructions)		13 Local tax withheld \$	14 Name of locality	15 Local distribution \$	

Form 1099-R Department of the Treasury Internal Revenue Service

CORRECTED (if checked)		OMB No. 1545-0119		Distributions From Pensions, Annuities, Retirement or Profit-Sharing Plans, IRAs, Insurance Contracts, etc.	
PAYER'S name, street address, city, state, and ZIP code HSERV AFFINITY INC 717 17TH STREET SUITE 2600 DENVER, CO 80202		1 Gross distribution \$ 100,000.00	2007 Form 1099-R	Copy C For Recipient's Records	
		2a Taxable amount \$ 100,000.00			
		2b Taxable amount not determined <input checked="" type="checkbox"/>	Total distribution <input type="checkbox"/>		
PAYER'S federal identification number	RECIPIENT'S identification number	3 Capital gain (included in box 2a)	4 Federal income tax withheld		
		\$	\$		
RECIPIENT'S name, street address (including apt. no.), city, state, and ZIP code STANLEY T. MILLER REDACTED		5 Employee contributions (excluding Roth contributions) or insurance premiums	6 Net unrealized appreciation in employer's securities		
		\$	\$		
		7 Distribution code (a) <input checked="" type="checkbox"/> (b) <input type="checkbox"/>	8 Other		
		9a Your percentage of total distribution %	9b Total employee contributions \$		
		10 State tax withheld \$	11 State/Payer's state no. FL /	12 State distribution \$	
Account number (see instructions)		13 Local tax withheld \$	14 Name of locality	15 Local distribution \$	

Form 1099-R (Keep for your records.) Department of the Treasury Internal Revenue Service

CORRECTED (if checked)		OMB No. 1545-0119		Distributions From Pensions, Annuities, Retirement or Profit-Sharing Plans, IRAs, Insurance Contracts, etc.	
PAYER'S name, street address, city, state, and ZIP code HSERV AFFINITY INC 717 17TH STREET SUITE 2600 DENVER, CO 80202		1 Gross distribution \$ 100,000.00	2007 Form 1099-R	Copy 2 File this copy with your state, city, or local income tax return, when required.	
		2a Taxable amount \$ 100,000.00			
		2b Taxable amount not determined <input checked="" type="checkbox"/>	Total distribution <input type="checkbox"/>		
PAYER'S federal identification number	RECIPIENT'S identification number	3 Capital gain (included in box 2a)	4 Federal income tax withheld		
		\$	\$		
RECIPIENT'S name, street address (including apt. no.), city, state, and ZIP code STANLEY T. MILLER REDACTED		5 Employee contributions (excluding Roth contributions) or insurance premiums	6 Net unrealized appreciation in employer's securities		
		\$	\$		
		7 Distribution code (a) <input checked="" type="checkbox"/> (b) <input type="checkbox"/>	8 Other		
		9a Your percentage of total distribution %	9b Total employee contributions \$		
		10 State tax withheld \$	11 State/Payer's state no. IL /	12 State distribution \$	
Account number (see instructions)		13 Local tax withheld \$	14 Name of locality	15 Local distribution \$	

Form 1099-R Department of the Treasury Internal Revenue Service

Investment  
Support  
ServicesPg 59 of 67  
www.fiserviss-fiservices.com

**Traditional IRA**  
**October 1, 2008 - December 31, 2008**

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>03881 5666970 001 008145  
STANLEY T. MILLER

**REDACTED**  
**REDACTED**

**Account Name:** STANLEY T. MILLER  
**Account Number:** [REDACTED]

**Contact:** CLIENT CONNECTION**ACCOUNT SUMMARY****REPORTED ACCOUNT VALUE**Current Period

Brokerage Accounts	\$6,379,607.56
*Cash	\$257.47
Non-Standard Assets	\$951,539.88
<b>Total Account Value</b>	<b>\$7,331,404.91</b>

Prior Period

Total Account Value	\$7,590,799.99
---------------------	----------------

**CONTRIBUTION AND DISTRIBUTION SUMMARY**Rollovers

Current Tax Year	\$0.00
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Contributions

Current Tax Year (2008)	\$0.00
Prior Tax Year (2007)	\$0.00

Employer Contributions

Current Tax Year (2008)	\$0.00
Prior Tax Year (2007)	\$0.00

Distributions

**Current Tax Year (2008)	\$900,000.00
Current Tax Year Withholding (2008)	\$0.00
**Prior Tax Year (2007)	\$100,000.00
Prior Tax Year Withholding (2007)	\$0.00

\* FDIC insurance coverage applies only to deposits held in cash and to certificates of deposit issued by your trustee/custodian.  
No other investments are FDIC insured through this institution.

\*\* May include distributions that do not apply toward your required minimum distribution

**IMPORTANT ACCOUNT INFORMATION**

Please review the last page of your statement for more important account information and retain this statement for your records.  
Report any discrepancies to us immediately.

As required by law, the fair market value reported on this statement will be furnished to the Internal Revenue Service on IRS Form 5498, if the account is an IRA, SEP, Simple, or Roth account.

717 17th Street, Ste 1700, Denver, CO 80202-3331 » PO Box 173859, Denver, CO 80217-3859 » 800-962-4238

Trust and custodial services provided by Trust Industrial Bank, member FDIC.



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<u>Transaction Date</u>	<u>Transaction</u>	<u>Transaction Description</u>	<u>Transaction Shares</u>	<u>Cash</u>
10/08/2008	IRA DISTRIBUTION	For Tax Year 2008		-60,000 00
10/10/2008	FEE COLLECTION			-80 97
11/05/2008	FEE PAYMENT	CHK#007410 \$300.00		
11/05/2008	FEE REIMBURSEMENT			257 47
11/10/2008	SHARES SOLD	BERNARD L MADOFF BRKG ACCT VALUE	-60,000 0000	
11/10/2008	SALE SETTLEMENT	BERNARD L MADOFF BRKG ACCT VALUE		60,000 00
11/10/2008	IRA DISTRIBUTION	For Tax Year 2008		-60,000 00
<b>Ending Cash Balance (as of 12/31/2008)</b>				<b>\$257.47</b>

CORRECTED (if checked)		OMB No. 1545-0047		Distributions From Pensions, Annuities, Retirement or Profit-Sharing Plans, IRAs, Insurance Contracts, etc.
PAYER'S name, street address, city, state, and ZIP code TRUST INDUSTRIAL BANK P.O. BOX 173859 DENVER, CO 80217-3859		1 Gross distribution \$ 900,000.00	2008 Form 1099-R	
		2a Taxable amount \$ 900,000.00		
		2b Taxable amount not determined <input checked="" type="checkbox"/> Total distribution <input type="checkbox"/>	Copy B Report this income on your Federal tax return. If this form shows federal income tax withheld in box 4, attach this copy to your return.	
PAYER'S federal identification number	RECIPIENT'S identification number	3 Capital gain (included in box 2a) \$		
RECIPIENT'S name, street address (including apt. no.), city, state, and ZIP code STANLEY T. MILLER REDACTED REDACTED		5 Employee contributions/Designated Roth contributions or insurance premiums \$	6 Net unrealized appreciation in employer's securities \$	This information is being furnished to the Internal Revenue Service.
		7 Distribution code(s) 7 IRA/SEP/SIMPLE <input checked="" type="checkbox"/>	8 Other <input type="checkbox"/>	
		9a Your percentage of total distribution %	9b Total employee contributions \$	12 State distribution \$
1st year of design. Both contrib.		10 State tax withheld \$	11 State/Payer's state no. FL /	
Account number (see instructions)		13 Local tax withheld \$	14 Name of locality	15 Local distribution \$

Form 1099-R Department of the Treasury Internal Revenue Service

CORRECTED (if checked)		OMB No. 1545-0119		Distributions From Pensions, Annuities, Retirement or Profit-Sharing Plans, IRAs, Insurance Contracts, etc.
PAYER'S name, street address, city, state, and ZIP code TRUST INDUSTRIAL BANK P.O. BOX 173859 DENVER, CO 80217-3859		1 Gross distribution \$ 900,000.00	2008 Form 1099-R	
		2a Taxable amount \$ 900,000.00		
		2b Taxable amount not determined <input checked="" type="checkbox"/> Total distribution <input type="checkbox"/>	Copy C For Recipient's Records	
PAYER'S federal identification number	RECIPIENT'S identification number	3 Capital gain (included in box 2a) \$		
RECIPIENT'S name, street address (including apt. no.), city, state, and ZIP code STANLEY T. MILLER REDACTED REDACTED		5 Employee contributions/Designated Roth contributions or insurance premiums \$	6 Net unrealized appreciation in employer's securities \$	This information is being furnished to the Internal Revenue Service.
		7 Distribution code(s) 7 IRA/SEP/SIMPLE <input checked="" type="checkbox"/>	8 Other <input type="checkbox"/>	
		9a Your percentage of total distribution %	9b Total employee contributions \$	12 State distribution \$
1st year of design. Both contrib.		10 State tax withheld \$	11 State/Payer's state no. FL /	
Account number (see instructions)		13 Local tax withheld \$	14 Name of locality	15 Local distribution \$

Form 1099-R (Keep for your records.) Department of the Treasury Internal Revenue Service

CORRECTED (if checked)		OMB No. 1545-0119		Distributions From Pensions, Annuities, Retirement or Profit-Sharing Plans, IRAs, Insurance Contracts, etc.
PAYER'S name, street address, city, state, and ZIP code TRUST INDUSTRIAL BANK P.O. BOX 173859 DENVER, CO 80217-3859		1 Gross distribution \$ 900,000.00	2008 Form 1099-R	
		2a Taxable amount \$ 900,000.00		
		2b Taxable amount not determined <input checked="" type="checkbox"/> Total distribution <input type="checkbox"/>	Copy 2 File this copy with your state, city, or local income tax return, when required.	
PAYER'S federal identification number	RECIPIENT'S identification number	3 Capital gain (included in box 2a) \$		
RECIPIENT'S name, street address (including apt. no.), city, state, and ZIP code STANLEY T. MILLER REDACTED REDACTED		5 Employee contributions/Designated Roth contributions or insurance premiums \$	6 Net unrealized appreciation in employer's securities \$	
		7 Distribution code(s) 7 IRA/SEP/SIMPLE <input checked="" type="checkbox"/>	8 Other <input type="checkbox"/>	
		9a Your percentage of total distribution %	9b Total employee contributions \$	12 State distribution \$
1st year of design. Both contrib.		10 State tax withheld \$	11 State/Payer's state no. FL /	
Account number (see instructions)		13 Local tax withheld \$	14 Name of locality	15 Local distribution \$

Form 1099-R (4-2003) 1099-R Department of the Treasury Internal Revenue Service

**EXHIBIT**  
**1/9/09 STANLEY MILLER SIPC CLAIM**

**CUSTOMER CLAIM**

Claim Number \_\_\_\_\_

Date Received \_\_\_\_\_

**BERNARD L. MADOFF INVESTMENT SECURITIES LLC**

In Liquidation

**DECEMBER 11, 2008**

Irving H. Picard, Esq.  
Trustee for Bernard L. Madoff Investment Securities LLC  
Claims Processing Center  
2100 McKinney Ave., Suite 800  
Dallas, TX 75201

Provide your office and home telephone no.

OFFICE: \_\_\_\_\_

REDACTED

HOME: \_\_\_\_\_

Taxpayer I.D. Number (Social Security No.)

REDACTED

1Z284

1Z284

STANLEY T MILLER

REDACTED  
REDACTED

(If incorrect, please change)

**NOTE: BEFORE COMPLETING THIS CLAIM FORM, BE SURE TO READ CAREFULLY THE ACCOMPANYING INSTRUCTION SHEET. A SEPARATE CLAIM FORM SHOULD BE FILED FOR EACH ACCOUNT AND, TO RECEIVE THE FULL PROTECTION AFFORDED UNDER SIPA, ALL CUSTOMER CLAIMS MUST BE RECEIVED BY THE TRUSTEE ON OR BEFORE March 4, 2009. CLAIMS RECEIVED AFTER THAT DATE, BUT ON OR BEFORE July 2, 2009, WILL BE SUBJECT TO DELAYED PROCESSING AND TO BEING SATISFIED ON TERMS LESS FAVORABLE TO THE CLAIMANT. PLEASE SEND YOUR CLAIM FORM BY CERTIFIED MAIL - RETURN RECEIPT REQUESTED.**

\*\*\*\*\*

1. Claim for money balances as of **December 11, 2008**:

a. The Broker owes me a Credit (Cr.) Balance of

\$ 456,006

b. I owe the Broker a Debit (Dr.) Balance of

\$ None

- c. If you wish to repay the Debit Balance,  
please insert the amount you wish to repay and  
attach a check payable to "Irving H. Picard, Esq.,  
Trustee for Bernard L. Madoff Investment Securities LLC."  
If you wish to make a payment, **it must be enclosed**  
with this claim form. \$ \_\_\_\_\_

- d. If balance is zero, insert "None." None

2. Claim for securities as of **December 11, 2008**:

**PLEASE DO NOT CLAIM ANY SECURITIES YOU HAVE IN YOUR POSSESSION.**

*see attached most recent statement 11/30/08*

- |   | YES           | NO            |
|---|---------------|---------------|
| a. The Broker owes me securities        | <u>✓</u>      | <u>      </u> |
| b. I owe the Broker securities          | <u>      </u> | <u>✓</u>      |
| c. If yes to either, please list below: |               |               |

Date of Transaction (trade date)	Name of Security	Number of Shares or Face Amount of Bonds	
		The Broker Owes Me (Long)	I Owe the Broker (Short)
_____	_____	_____	_____
_____	_____	_____	_____
_____	_____	_____	_____
_____	_____	_____	_____
_____	_____	_____	_____

Proper documentation can speed the review, allowance and satisfaction of your claim and shorten the time required to deliver your securities and cash to you. Please enclose, if possible, copies of your last account statement and purchase or sale confirmations and checks which relate to the securities or cash you claim, and any other documentation, such as correspondence, which you believe will be of assistance in processing your claim. In particular, you should provide all documentation (such as cancelled checks, receipts from the Debtor, proof of wire transfers, etc.) of your deposits of cash or securities with the Debtor from as far back as you have documentation. You should also provide all documentation or

information regarding any withdrawals you have ever made or payments received from the Debtor.

Please explain any differences between the securities or cash claimed and the cash balance and securities positions on your last account statement. If, at any time, you complained in writing about the handling of your account to any person or entity or regulatory authority, and the complaint relates to the cash and/or securities that you are now seeking, please be sure to provide with your claim copies of the complaint and all related correspondence, as well as copies of any replies that you received.

**PLEASE CHECK THE APPROPRIATE ANSWER FOR ITEMS 3 THROUGH 9.**

**NOTE: IF "YES" IS MARKED ON ANY ITEM, PROVIDE A DETAILED EXPLANATION ON A SIGNED ATTACHMENT. IF SUFFICIENT DETAILS ARE NOT PROVIDED, THIS CLAIM FORM WILL BE RETURNED FOR YOUR COMPLETION.**

	<u>YES</u>	<u>NO</u>
3. Has there been any change in your account since December 11, 2008? If so, please explain.	<u>✓</u>	<u>      </u>
4. Are you or were you a director, officer, partner, shareholder, lender to or capital contributor of the broker?	<u>      </u>	<u>✓</u>
5. Are or were you a person who, directly or indirectly and through agreement or otherwise, exercised or had the power to exercise a controlling influence over the management or policies of the broker?	<u>      </u>	<u>✓</u>
6. Are you related to, or do you have any business venture with, any of the persons specified in "4" above, or any employee or other person associated in any way with the broker? If so, give name(s)	<u>      </u>	<u>✓</u>
7. Is this claim being filed by or on behalf of a broker or dealer or a bank? If so, provide documentation with respect to each public customer on whose behalf you are claiming.	<u>      </u>	<u>✓</u>
8. Have you ever given any discretionary authority to any person to execute securities transactions with or through the broker on your behalf? Give names, addresses and phone numbers.	<u>✓</u>	<u>      </u>

9. Have you or any member of your family ever filed a claim under the Securities Investor Protection Act of 1970? if so, give name of that broker. \_\_\_\_\_ NO

Please list the full name and address of anyone assisting you in the preparation of this claim form: \_\_\_\_\_

If you cannot compute the amount of your claim, you may file an estimated claim. In that case, please indicate your claim is an estimated claim.

**IT IS A VIOLATION OF FEDERAL LAW TO FILE A FRAUDULENT CLAIM. CONVICTION CAN RESULT IN A FINE OF NOT MORE THAN \$50,000 OR IMPRISONMENT FOR NOT MORE THAN FIVE YEARS OR BOTH.**

**THE FOREGOING CLAIM IS TRUE AND ACCURATE TO THE BEST OF MY INFORMATION AND BELIEF.**

Date 1/9/09 Signature Stanley T. Trull  
Date \_\_\_\_\_ Signature \_\_\_\_\_

(If ownership of the account is shared, all must sign above. Give each owner's name, address, phone number, and extent of ownership on a signed separate sheet. If other than a personal account, e.g., corporate, trustee, custodian, etc., also state your capacity and authority. Please supply the trust agreement or other proof of authority.)

**This customer claim form must be completed and mailed promptly, together with supporting documentation, etc. to:**

Irving H. Picard, Esq.,  
Trustee for Bernard L. Madoff Investment Securities LLC  
Claims Processing Center  
2100 McKinney Ave., Suite 800  
Dallas, TX 75201

1/9/09

3. Account has been frozen and deemed worthless.  
It has been labeled a Ponzi Scheme and Fraud

8. Bernard L. Nadoff  
885 Third Ave  
NYC NY 10022  
212 230 2929

2C November Statement and confirms  
enclosed showing long securities that  
The broker would owe to me.

2A The enclosed November statement  
shows the broker owes me approximately  
\$6,165,000.00